

## TRANSMISSION FORM

Date

D	D	M	M	Y	Y	Y	Y
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To,  
 Axis Securities Limited.  
 Unit No. 1001, 10<sup>th</sup> Floor, Level – 6, Q2 Building,  
 Aurum Q Parc, Plot No. 4/1 TTC, Thane – Belapur Road,  
 Ghansoli, Navi Mumbai – 400 710.

**(1) I/We, the undersigned, being the**

Executor(s) of the Will		Legal heir(s)	
Administrator(s) of the Estate		Joint holder(s)	
Successor(s) to the Estate		Nominee	

of Mr./Mrs./Ms. \_\_\_\_\_, Mr./Mrs./Ms. \_\_\_\_\_ and Mr./Mrs./Ms. \_\_\_\_\_, the deceased, of which

\*nomination / Succession Certificate/ Probate of Will/ Will/ Letter of Administration/ Court Decree as may be applicable in terms of the Indian Succession Act, 1925 or Legal Heirship Certificate (or its equivalent Certificate) issued by competent Government Authority, was duly granted / issued to me / us on the \_\_\_\_\_ day of \_\_\_\_\_ of \_\_\_\_\_ hereby request you to register me/us as the beneficial owner(s) in respect of the securities standing in the name of the said deceased under Client ID \_\_\_\_\_ DP ID \_\_\_\_\_.

**(2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:**

Name	Client ID	DP ID

**(3) Declaration:**

(Applicable only for legal heir(s)/ claimant(s) where deceased was a sole holder and no nomination in the account - Value of holding exceeding / not exceeding Rs. 15,00,000 (Rs. Fifteen Lakhs) as on the date of application)

We declare that the below mentioned person(s) are the only legal heir(s) /claimant(s) of the deceased and there are no other legal heir(s)/claimant(s). Of these, as specified below, some/all of them are legal heir(s) / non-claimant(s) and some of them have given a No Objection Certificate relinquishing their rights on the securities held in the aforesaid Beneficial Owner account in favour of other legal heir(s)/claimant(s). Details are given below:

Sr. No.	Name of the legal heir(s)	Specify whether a legal heir(s) / claimant(s) given a No Objection Certificate

**(4) Signatures:**

Sr. No.	Name of the Claimant	Signature of the Claimant

**Notes:**

1. This request form should be signed by the surviving joint holder(s)/ legal/heir(s) /claimant(s) / nominee, as the case may be.
2. \* Strike off whichever is not applicable.

**(5) Please refer to the table below based for the list of applicable documents required:**

Sr. No.	Type of Document	Nominee	Joint Holder	Legal Heir - Claimant (Below 15 lacs)	Legal Heir- Claimant (15 lacs or above)
1	<b>Transmission Form</b> – Signed by all Legal Heir/s	YES	YES	YES	YES
2	<b>Death Certificate</b> - Original or copy attested by a notary public or by a gazetted officer	YES	YES	YES	YES
3	Self attested Copy of <b>PAN and Aadhaar</b> of <b>Claimant/s with IPV OSV</b>	YES	YES	YES	YES
4	CML generated from the NSDL/CDSL system, signed and stamped by the issuing Depository Participant required	YES	YES	YES	YES
5	<a href="#">Affidavit</a> - Notarized on a Rs.100 stamp paper from all the claimant/s - Should be separate for each claimant duly verified by a Magistrate / Notary & it should be in favour of Axis Securities	NO	NO	YES	YES
6	<a href="#">Indemnity Bond</a> - Made on a non-judicial stamp paper of Rs.500/- from all the legal heir(s) / claimant(s) to whom the securities are transmitted duly verified by a Magistrate / Notary & it should be in the favour of Axis Securities	NO	NO	YES	YES
7	<a href="#">No Objection Certificate(s)</a> - Made on a non-judicial stamp paper of Rs.100/-from all the legal heir(s) / non-claimants who do not wish to claim for transmission of securities OR Copy of Family Settlement Deed duly executed by all legal heir(s), duly attested by a notary public or by a gazetted officer	NO	NO	Only From Non Claimants	Only From Non Claimants
<b>If a customer has any of the following documents NO INDEMNITY would be required</b>					<b>Any 1 of these documents is compulsorily required</b>
8.a	Copy of the Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR	NO	NO	Optional	
8.b	Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR	NO	NO	Optional	
8.c	Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR	NO	NO	Optional	
8.d	Copy of Probate* of Will (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;	NO	NO	Optional	

**Please Note:**

- IPV OSV can be obtained at any of the nearest Axis Bank branch from the branch personnel
- A separate [Indemnity Bond](#) would be required if there are any transactions made on the account post the day of death (Click Here to download the Indemnity)

**END**