

Declaration:

(Applicable only for legal heir(s)/ claimant(s) where deceased was a sole holder and no nomination in the account - Value of holding exceeding / not exceeding Rs. 15,00,000 (Rs. Fifteen Lakhs) as on the date of application)

We declare that the below mentioned person(s) are the only legal heir(s) /claimant(s) of the deceased and there are no other legal heir(s)/claimant(s). Of these, as specified below, some/all of them are legal heir(s) / non-claimant(s) and some of them have given a No Objection Certificate relinquishing their rights on the securities held in the aforesaid Beneficial Owner account in favour of other legal heir(s)/claimant(s). Details are given below:

Sr. No.	Name of the legal heir(s)	Specify whether a legal heir(s) / claimant(s) given a No Objection Certificate

Signatures:

Sr. No.	Name of the Claimant	Signature of the Claimant

Notes:

This request form should be signed by the surviving joint holder(s)/ legal/heir(s) /claimant(s) / nominee, as the case may be.
(*Strike off whichever is not applicable.)

Please Note:

- IPV OSV can be obtained at any of the nearest Axis Bank branch from the branch personnel
- * Odd Lot Declaration: For transmission of securities which are held in odd numbers to be shared amongst the Legal Heirs if there are more than 1 Legal heirs
- # A separate Trade Indemnity Bond (Annexure IE) would be required if there are any transactions made in the account post the day of death for all cases
- # A separate Nominee Indemnity Bond would be required if the account was opened before 03-01-2009 & if the holding value is above greater than or equal to 15lacs

PLEASE REFER THE LIST OF APPLICABLE DOCUMENTS REQUIRED					
Sr. No.	Type of Document	Nominee	Joint Holder	Legal Heir - Claimant (Below 15 lacs)	Legal Heir - Claimant (15 lacs or above)
1	Transmission Form – Signed by all Legal Heir/s NSDL: Click Here to download CDSL: Click Here to download	YES	YES	YES	YES
2	Death Certificate - Original or copy attested by a notary public or by a gazetted officer	YES	YES	YES	YES
3	Self attested Copy of PAN and Aadhaar of Claimant/s with IPV OSV*	YES	YES	YES	YES
4	CML generated from the NSDL/CDSL system, signed and stamped by the issuing Depository Participant required	YES	YES	YES	YES
5	Affidavit* - Notarized on a Rs.100 stamp paper from all the claimant/s - Should be separate for each claimant duly verified by a Magistrate / Notary & it should be in favour of Axis Securities	NO	NO	YES	YES
6	Indemnity Bond - Made on a non-judicial stamp paper of Rs.500/- from all the legal heir(s) / claimant(s) to whom the securities are transmitted duly verified by a Magistrate / Notary & it should be in the favour of Axis Securities	NO	NO	YES	YES
7	No Objection Certificate(s) - Made on a non-judicial stamp paper of Rs.100/-from all the legal heir(s) / non claimants who do not wish to claim for transmission of securities OR Copy of Family Settlement Deed duly executed by all legal heir(s), duly attested by a notary public or by a gazetted officer	NO	NO	Only From Non Claimants	Only From Non Claimants
IF A CUSTOMER HAS ANY OF THE FOLLOWING DOCUMENTS NO INDEMNITY WOULD BE REQUIRED					
8.a	Copy of the Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR	NO	NO	Optional	Any 1 of these documents is compulsorily required
8.b	Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR	NO	NO	Optional	
8.c	Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR	NO	NO	Optional	
8.d	Copy of Probate* of Will (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;	NO	NO	Optional	

