

<b>Non-Individual Account Opening Form – with KRA-KYC &amp; FATCA</b> (dully filled & signed by the authorized signatories as per mode of operation given in Board Resolution)
<b>Pan Card</b> (in the name of Firm)
<b>Address Proof</b> (in the name of Firm – Any one) – <b>For Registered Office as well as Address for Communication</b> *Form 18 * Bank Account Statement/Passbook – Not more than 3 months old *Utility bills like Telephone Bill (only land line), Electricity bill – Not more than 3 months old.
<b>Proof of Bank A/c</b> (In the name of Firm – Any one) *Banker's letter *Cancelled Personalized Cheque leaf *Passbook/Bank Statement with latest transaction details Note: The above documents should contain MICR code and IFSC code of the Bank Branch and should not be more than 3 months old.
<b>Proof of Demat A/c</b> (In the name of Firm – Any one) – <b>Not Applicable if opted for opening of New Demat Account with ASL</b> *Demat Client Master *Recent holding statement issued by DP bearing name of the Client
*Certified copy of Limited liability partnership deed along with certified copy of certificate of registration.
<b>Balance Sheet for last 2 financial years</b> (Submit Annual Balance Sheet every year)
<b>Latest Net worth Certificate</b> (should not be older than one year)
<b>Copy of latest Shareholding pattern</b> including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the Company Secretary/Whole Time Director/Partners/MD (to be submitted every year)
<b>Copy of the Resolution</b> (On Letter Head) <ul style="list-style-type: none"> <li>i) participation in equity/derivatives/currency trading &amp; naming authorized persons for dealing in securities – For opening of Trading Account</li> <li>ii) Opening and operations of Demat account along with clear mode of operation</li> </ul>
<b>List of Partners</b> - with Name, PAN, Designation&Photographs – On Letter Head
<b>Photograph of Partners /2 partners</b> in charge of day to day operations/ Individual promoters holding 5% or more in the shareholding (Should be attached to the form & KRA, and has to be signed across with Company Stamp) - On Letter Head
<b>List of Authorised Signatories</b> - with Name, Designation, Specimen Signature & Signed across photographs - On Letter Head
<b>Proof of Identity of Authorized Signatories/Partners/2 Partners in charge of day to day operations –</b> *PAN Card with photograph ( <b>mandatory</b> ) <i>Additional supporting proof – Any one, if Photograph not clear on submitted PAN :</i> *Unique Identification No. (UID)-AADHAAR *Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks
<b>Proof of Address (POA) of Authorized Signatories/Partners/2 Partners</b> in charge of day to day operations – Any one 1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy. 2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old. 3. Bank Account Statement/Passbook -- Not more than 3 months old. 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts. 5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority. 6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- All the documents must be <ul style="list-style-type: none"> <li>o Attested / certified under relevant stamp viz Authorized Signatory/ Partner etc.</li> <li>o 'Verified with Original' by Axis Bank/ Axis Securities Ltd employee</li> <li>o If AOF is downloaded , take clear print out and get the same filled &amp; signed by the applicant on all pages with clear Rubber stamp</li> </ul> - Submitted copies must be clear & readable