

## **Investment Advisory Annual Compliance Audit Status**

Disclosure regarding compliance with Annual compliance audit requirement under Regulation 19(3) of SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 as under:-

<b>Sr No</b>	<b>Financial Year</b>	<b>Compliance Audit Status</b>	<b>Remarks, If any</b>
1.	FY 2020-21	Conducted	-
2.	FY 2021-22	Conducted	-
3.	FY 2022-23	Conducted	-
4.	FY 2023-24	Conducted	-
4.	FY 2024-25	Conducted	-

Axis Securities Limited

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**AXIS SECURITIES**