

# Account Details Addition/Modification Request Form (Trading/Demat A/c)

CDSL DP ID         -

NSDL DP ID         -

Date:

PAN

TRADING Code

Dear Sir/Madam,

I/We request you to update the following addition/modification in my/our all Trading Account/s (Primary & Sub accounts - if any) & Demat Accounts (opened with same holding pattern - Sole/ Joint or being managed by the same set of Authorised signatory/ies - in case of non-individual account).

Please fill all the details in BLOCK LETTER in english & fill separate request for NSDL & CDSL (Please mark on the appropriate column)

**FATCA Declaration:** I/we confirm that my/our Country of Birth/Nationality/ Citizenship/ Tax Residency/ Address/ Telephone No./ Address of POA or Mandate holder is of India  Yes  No

(If answer to your question is 'No', then please submit FATCA Declaration separately for each holder.)

## PART A - ADDRESS & CONTACT DETAILS Please fill and submit the KRA KYC form separately

Correspondence  Permanent / Registered  Both (Use separate forms if correspondence and permanent address are different)

Address

Landmark  City

PIN\*  State  Country

Mobile  Belongs to  Self  Spouse  Dependent Parent  Dependent Children

Email Id  Belongs to  Self  Spouse  Dependent Parent  Dependent Children

## PART B - OTHER DEATILS

### OCCUPATION AND INCOME DETAILS

Occupation:

Private Sector  Public Sector  Govt. Service  Business  Professional  Agriculturist

Retired  House-Wife  Student  Other

Income per annum (in Rs):

<1 Lac  1 to 5 Lac  5 to 10 Lac  10 to 25 Lac  25 Lac to 1 Crore  Above 1 Crore

Networth: (in Rs.)  as on date  (Networth should not be older than 1 year)

Note: If your account is enabled for F&O segment then any one proof of income / financial is mandatory. (Plesae refer checklist)

**BANK MODIFICATION**  Trading  Demat [  Charge Bank Account  Dividend Account# ]  For all

Default Bank A/c for Funds credit (If not indicated, in case of Axis Bank - account shall be considered as default bank account for Crediting the funds, for other Banks - account shall be linked as an additional bank accounts)

Bank Name & Branch Address

Bank A/c No.  A/c Type

MICR No  IFSC Code

# Demat Bank details for Dividend account (If different from above)

Bank Name & Branch Address

Bank A/c No.  A/c Type

MICR No  IFSC Code

### DEMAT MODIFICATION (TRADING ACCOUNT)

Default for Payout  Additional non-default account  
(If not indicated, newly added demat a/c will be considered as default demat a/c for securities payout)

DP Name	DP ID	Demat Account Number	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Link <input type="checkbox"/> De-link
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Link <input type="checkbox"/> De-link

Declaration: I/We hereby confirm that the information provided above is true and correct to the best of my/our knowledge and belief. I/We understand that if any of the information is found to be false, misleading, or misrepresented, I/We may be held responsible for it. I/We also agree to inform Axis Securities Limited within 7 days in case any information on this form changes or becomes incorrect. I/We have also reviewed and agreed to the terms and conditions outlined on page 2 In case of email/ mobile updation, I /We hereby give consent to receive all communication from Axis Securities Limited on the above email / mobile number.

Name

Name

Name

 First /Sole Holder /Authorised Signatory

 Second Holder Signature

 Third Holder Signature

## DOCUMENTATION REQUIRED FOR PROCESSING ACCOUNT MODIFICATION REQUESTS

### Annexure – I : Documents Required

- Supporting documents (applicable as per below matrix) must be
  - attested by Sole/all Joint Holders/ Authorized signatories as per mode of operations – For Non-Individual accounts
  - duly certified as 'Verified with Original' by Branch Official under branch stamp (with his/her Full Signature mentioning Name, Designation and Employee code)
- Trading Account Holder must be Sole/ First holder in Bank/ Demat Account to be linked with Trading Account
- If multiple bank accounts are available but not specified, the Axis Bank account will be considered the default for crediting funds. Accounts with other banks will be linked as additional bank accounts
- If multiple demat accounts are available but not specified, the newly added demat account will be considered the default for securities payout
- The modification request form (alongwith applicable supporting documents) are required to be dispatched/ submitted to :

### Axis Securities Limited, Operations Department

Aurum Q Parç, Q2 Building, Unit No. 1001, 10th Floor, Level – 6, Plot No. 4/1 TTC, Thane - Belapur Road, Ghansoli, Navi Mumbai, Pin Code – 400710.

#### A) Common Documents:

- Application Form Duly Filled and signed by All Holders/ Authorized signatories as per mode of operations – For Non-Individual accounts
- Proof of Identity (Of Sole Holder or either of Holders Visiting the Branch and with Identifiable Photograph)- Copy of any of the following documents
  - 1) PAN Card
  - 2) UIDAI (Unique Identification Authority of India)- Aadhaar Card
  - 3) Passport, which is valid
  - 4) Voter ID Card
  - 5) Driving License which is valid

#### C) Additional Documents: Addition of Bank Details

- Proof of Bank Account (In the name of Sole/First Holder)- Copy of any of the following documents consisting MICR details:
  - 1) Personalized Cancelled Cheque Leaf
  - 2) Bank Statement/ Passbook (with transaction not older than three month)
  - 3) Branch MICR confirmation letter with the bank details
- If you wish to give Bank Mandate Letter (BML) for your Axis Bank Account, BML needs to be signed by the client and all joint holders in bank account to be linked with trading account.

#### B) Additional Documents: Change of Address

- KRA-KYC Form
- Proof of New Address – Copy of any of the following documents
  - 1) UIDAI (Unique Identification Authority of India)- Aadhaar Card
  - 2) Valid Passport
  - 3) Valid Driving License
  - 4) Voter ID
  - 5) NREGA

#### D) Additional Documents: Addition of Demat Details with Trading Account

- Proof of Demat Account (In the name of Sole/First Holder)- Copy of Client Master List (CML) / Holding Statement duly attested by issuing Depository
- Demat Debit and Pledge Instruction (DDPI) - Duly executed on non-judicial stamp paper of Rs. 500/- or with franking of equivalent amount & signed by Client & all Joint holders in Demat account to be linked with the Trading Account.
- SMS Alert Facility must be enabled in New Demat Account to be linked with Trading Account (Separate Request for enabling SMS Alert facility needs to be obtained if already not activated)

#### E) Financial income proof for Derivatives / F&O segment clients: (Any one)

- 1) Copy of ITR Acknowledgement (*Gross annual income exceeding INR 2,00,000*)
- 2) In case of salary income Salary Slip, Copy of Form 16 (*Minimum INR 18,000 gross monthly salary*)
- 3) Copy of demat account holding statement (*Minimum value of Free Balance ISIN of INR 50,000*)
- 4) Any other relevant documents substantiating ownership of asset (*Income of more than INR 2,00,000*)
- 5) Copy of Annual Accounts Net worth certificate (*Income of more than INR 5,00,000*)
- 6) Bank account statement for last 6 months (*Minimum credit of more than INR 10,000 in a month*)
- 7) Self declaration with relevant supporting documents (*Income of more than INR 2,00,000*)

#### Terms and conditions related to FATCA declaration.

I/We understand that Axis Securities Limited is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. The Axis Securities Limited is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.

- 1) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
- 2) I/We agree that as may be required by domestic regulators/tax authorities, Axis Securities Limited may also be required to report reportable details to CDBT or close or suspend my account.
- 3) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.
- 4) I/We permit/authorise Axis Securities Limited to collect, store, communicate and process information relating to the Account and all transactions therein, by Axis Securities Limited and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.
- 5) I / We hereby accept and acknowledge that Axis Securities Limited shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me / us to Axis Securities Limited.

I/We shall indemnify Axis Securities Limited for any loss that may arise to Axis Securities Limited on account of providing incorrect or incomplete information