



(b) Bank details of first holder (submit proof of bank details)

(a) Cancelled cheque Personalised b) Trans statement latest 3 months c) Passbook (Front and back page with latest transaction pg)

Bank account type

Savings Account     
  Current Account     
  Others (Please specify) \_\_\_\_\_

Bank Name \_\_\_\_\_ Bank Account No:

MICR Code:

IFSC Code:

Branch Address:

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
City / Town / Village		PIN Code	
State		Country	

4. Signature of surviving joint holder(s)

Sr. No.	Name of the Surviving Joint Holder(s)	Signature
1		
2		



Form Type



KRA001

**APPLICATION FORM – FOR INDIVIDUAL**
**KNOW YOUR CLIENT (KYC)**

(Please fill the form in ENGLISH and in BLOCK LETTERS)

**A) IDENTITY DETAILS**

1. Name of the Applicant
2. Father's / Husband's Name
3. a. Gender  Male  Female  Transgender      3 b. Marital status  Single  Married
3. c. Date of Birth
4. a. Nationality  Indian  Other (Please specify) \_\_\_\_\_
4. b. Status  Resident Individual  Non-Resident  Foreign National
5. a. PAN                5 b. Aadhaar Number, if any
6. Specify the proof of the Identity submitted  Pan Card  Any Others (Please specify) \_\_\_\_\_

1st Holder Signature

**B) OCCUPATION & INCOME (PLEASE TICK)**

- Private Sector  Public Sector  Govt. Service  Business  Professional  Agriculturist
- Retired  House-Wife  Student  Other
- Income per annum: Rs.  <1 Lac  1 to 5 Lac  5 to 10 Lac  10 to 25 Lac  More than 25 Lac As on \_\_\_\_\_ OR
- Net worth is Rs. \_\_\_\_\_ As on \_\_\_\_\_. (Should not be older than 1 year)

**C) ADDRESS DETAILS**

1. Residence / Correspondence Address  Correspondence Address  Residence Address
- 
- City / Town / Village  PIN Code
- State  Country
2. Specify the Proof of address submitted for Residence / Correspondence Address: \_\_\_\_\_
3. Contact Details:
- Tel (O ff)                Tel. (Res.)
- Mobile No.                Fax No.
- Email ID
4. Permanent Address (If different from above, Mandatory for Non-Resident Applicant to specify overseas address)
- 
- City / Town / Village  PIN Code
- State  Country

#Mobile No is "Other then Self" Please select following details.  
 Self  Spouse  Dependent Parent  Dependent children  
 #Email ID is "Other then Self" Please select following details.  
 Self  Spouse  Dependent Parent  Dependent children

**D) DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief, and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue, or misleading or misrepresenting, I am aware that I may be held liable for it I/we understand that as an SEBI Registered Intermediary, Axis Securities Limited is required to upload / submit my / our KYC details along with a copy of my / our Aadhaar Card and other documents to KRA / CERSAI. I / we herewith give our consent to the Axis Securities Limited to upload / submit my / our Aadhaar Card and other documents along with required details to KRA / CERSAI am/we are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi Locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

 Date:          

Place: \_\_\_\_\_

Signature of the Applicant

**OFFICE USE ONLY**
 Originals verified and Self-Attested Document copies received

 In-Person-Verification (IPV) Done:

 a. Name of the Person 

 b. Designation 

 c. Name of Organisation 

 Date:          

Signature of the authorised signatory

Seal / Stamp of the Branch

Form Type



KRA001

**APPLICATION FORM – FOR INDIVIDUAL  
KNOW YOUR CLIENT (KYC)**

(Please fill the form in ENGLISH and in BLOCK LETTERS)

**A) IDENTITY DETAILS**

1. Name of the Applicant
2. Father's / Husband's Name
3. a. Gender  Male  Female  Transgender      3 b. Marital status  Single  Married
3. c. Date of Birth
4. a. Nationality  Indian  Other (Please specify) \_\_\_\_\_
4. b. Status  Resident Individual  Non-Resident  Foreign National
5. a. PAN                5 b. Aadhaar Number, if any
6. Specify the proof of the Identity submitted  Pan Card  Any Others (Please specify) \_\_\_\_\_

1st Holder Signature

**B) OCCUPATION & INCOME (PLEASE TICK)**

- Private Sector  Public Sector  Govt. Service  Business  Professional  Agriculturist
- Retired  House-Wife  Student  Other
- Income per annum: Rs.  <1 Lac  1 to 5 Lac  5 to 10 Lac  10 to 25 Lac  More than 25 Lac As on \_\_\_\_\_ OR
- Net worth is Rs. \_\_\_\_\_ As on \_\_\_\_\_. (Should not be older than 1 year)

**C) ADDRESS DETAILS**

1. Residence / Correspondence Address  Correspondence Address  Residence Address
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- City / Town / Village  PIN Code
- State  Country
2. Specify the Proof of address submitted for Residence / Correspondence Address: \_\_\_\_\_
3. Contact Details:
- Tel (Off)                Tel. (Res.)
- Mobile No.                Fax No.
- Email ID
4. Permanent Address (If different from above, Mandatory for Non-Resident Applicant to specify overseas address)
- 
- City / Town / Village  PIN Code
- State  Country

#Mobile No is "Other than Self" Please select following details.  
 Self  Spouse  Dependent Parent  Dependent children

#Email ID is "Other than Self" Please select following details.  
 Self  Spouse  Dependent Parent  Dependent children

**D) DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief, and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue, or misleading or misrepresenting, I am aware that I may be held liable for it I/we understand that as an SEBI Registered Intermediary, Axis Securities Limited is required to upload / submit my / our KYC details along with a copy of my / our Aadhaar Card and other documents to KRA / CERSAI. I / we herewith give our consent to the Axis Securities Limited to upload / submit my / our Aadhaar Card and other documents along with required details to KRA / CERSAI am/we are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi Locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Date:            Place: \_\_\_\_\_

Signature of the Applicant

**OFFICE USE ONLY**

- Originals verified and Self-Attested Document copies received
- In-Person-Verification (IPV) Done:
- a. Name of the Person
- b. Designation
- c. Name of Organisation
- Date:
- Signature of the authorised signatory      Seal / Stamp of the Branch

**Request for Same Mobile Number / Email Id. In Demat Account - Individual**Date: 

dd	mm	yyyy

DP ID / Client Id.	DP ID.	Client Id.
Name of Account Holder		
<input type="checkbox"/> Mobile Number		
<input type="checkbox"/> Email Id.		

I hereby declare that the aforesaid mobile number or Email Id. belongs to

- Me or
- My family (spouse, dependent children and dependent parents)

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Signature  
1<sup>st</sup> Holder

---

Signature  
2<sup>nd</sup> Holder

---

Signature  
3<sup>rd</sup> Holder

E-mail: [dphelp@axisdirect.in](mailto:dphelp@axisdirect.in)  
Tel. No: 022-68515400



## FORM FOR NOMINATION

(To be filled in by individual applying singly or jointly)

Nomination Registration No. \_\_\_\_\_

Date:   NSDL  CDSL  (Please select any one)Trading ID NSDL DP ID CDSL DP ID Demat Client ID 

### NOMINATION OPTION

I/we the sole holder / joint holders hereby declare that :

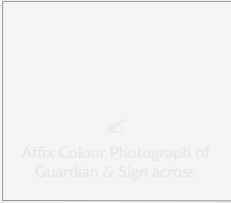


- I wish to nominate Demat & Trading Account [As per details given below]
- I / We hereby confirm that I / we do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.
- Please note that the details of first nominee will be a default nominee for Trading Account.

### NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me / us in the said beneficiary owner account in the event of my / our death. This nomination shall supersede any prior nomination made by me/us and also any testamentary document executed by me/us.

**Nomination can be made upto three nominees in the account.**

		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1	Name of the nominee(s) (Mr./Ms./Others)			
	First Name			
	Middle Name			
	Last Name			
2	Share of each Nominee			
A)	For NSDL	Equally <input type="checkbox"/> [If not equally, please specify percentage] %	%	%
	Any odd lot after division shall be transferred to the first nominee mentioned in the form.			
B)	For CDSL	Percentage of allocation of securities		
		Residual Securities (please tick any one nominee. If tick not marked default will be first nominee):	<input type="text"/>	<input type="text"/>
Note: Residual securities in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distributing of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.				
3	Relationship With the Applicant (If Any)			
4	Address of Nominee(s)			
	City:			
	State:			
	Pin:			
	Country:			
5	Mobile/Telephone No. of nominee(s)			
6	Fax No.			
7	Email ID of nominee(s)			
8	Nominee Identification details - [Please tick any one of following and provide details of same]			
	<input type="checkbox"/> Photograph & Signature	 <small>Affix Colour Photograph of Nominee &amp; Sign across</small> Signature of Nominee	 <small>Affix Colour Photograph of Nominee &amp; Sign across</small> Signature of Nominee	 <small>Affix Colour Photograph of Nominee &amp; Sign across</small> Signature of Nominee
	<input type="checkbox"/> PAN			
	<input type="checkbox"/> Aadhaar / UID			
	<input type="checkbox"/> Saving Bank account No.			
	<input type="checkbox"/> Proof of Identity			
	<input type="checkbox"/> Demat Account No.			
	DP ID			

9	Date of Birth	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y			
	Age																											
Sr. Nos. 10-16 should be filled mandatorily if nominee(s) is a minor:																												
10	Name of Guardian (Mr./Ms./Others)																											
	First Name																											
	Middle Name																											
	Last Name																											
11	Address of Guardian(s)																											
	City:																											
	State:																											
	Pin:																											
	Country:																											
	12	Mobile/Telephone No. of Guardian																										
13	Fax No.																											
14	Email ID of Guardian																											
15	Relationship of Guardian with nominee																											
16	Guardian Identification details - [Please tick any one of following and provide details of same]																											
	<input type="checkbox"/> Photograph & Signature	 Affix Colour Photograph of Guardian & Sign across Signature of Guardian						 Affix Colour Photograph of Guardian & Sign across Signature of Guardian						 Affix Colour Photograph of Guardian & Sign across Signature of Guardian														
	<input type="checkbox"/> PAN																											
	<input type="checkbox"/> Aadhaar / UID																											
	<input type="checkbox"/> Saving Bank account No.																											
	<input type="checkbox"/> Proof of Identity																											
	<input type="checkbox"/> Demat Account No. DP ID																											
	17	Name & Signature of Account Holder(s)	Sole/1st Holder						2nd Holder						3rd Holder													
Name(s) of holder (s)																												
Signature(s) of holder (s)		Signature of 1st Holder						Signature of 2nd Holder						Signature of 3rd Holder														
18	Details of Witness for Nomination																											
	Name of the Witness	Address of Witness												Signature of Witness														
Place :																				Date	D	D	M	M	Y	Y	Y	Y

**Notes:**

- The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
- A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
- Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees. The first nominee of Demat account will be considered as default nominee for Trading account.
- On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents.
- Savings bank account details shall only be considered if the account is maintained with Axis Bank Ltd.
- DP ID and client ID shall be provided where demat details is required to be provided.