## AXIS SECURITIES LTD. DP ID : IN304295

### PLEDGE / HYPOTHECATION FORM

Auram Q parc , Q2 building , Unit no. 1001 , 10th floor , level - 6 , Plot no. 4 / 1 , TTC , Thane - belapur road , Ghansoli , navi Mumbai - 400710. Email : dphelp@axisdirect.in

Serial No. Please tick in any one of the boxes as may be applicable :																				
☐ Cr	eate the Pledge /	Hypotheca	tion						☐ Confirm the creation of Pledge / Hypothecation											
□ CI	ose the Pledge / F	Hypothecati	on						Confirm the closure of Pledge / Hypothecation											
☐ Inv	voke the Pledge /	Hypothecat	ion				Committee closure of Fredge / Frypothecation													
☐ Ur	nilateral closure of	Pledge / H	ypoth	ecatior	1			☐ Confirm tl	he Invocation	/ Hypothecation										
Date									Instruction Typ	e (Pledge / H	ypothecation)									
Client I	D (pre-stamped)								Counterparty F	Pledgor / Pled	lgee Client ID									
Client N	Client Name								Counterparty F	Pledgor / Pled	lgee Client Name		•	•	•			•	•	
	nent No. vided by Pledgee)								Counterparty F	Pledgor / Pled	lgee DP ID	I	N							
Closure Date									Counterparty Pledgor / Pledgee DP Name											
Sr. No.	Sr. No. ISIN			Security Name					Reason & release date for locked-in-securities (if any)	Quantity (in figures)	Quan (in wo			(Refe	edge Ord r Instruct be filled	tion No.2	(To b	if any) e filled i	rejection /) in at the rmation)	
1																				
2																				
3																				
4																				
5																				
														Exec	ution D	ate :	_/	/		
Part			Ente	red	by		Checked & F	Released by	Authorised Sig											

#### Instructions:

<sup>1.</sup> Pledged Quantity, Closure Quantity or the Invoked Quantity to be mentioned as the case may be. 2. Pledge Order No. to be filled in by the client (except at the time of creation of the pledge to be filled in by the Participant). 3. Locked in Securities cannot be invoked before the lock-in release date. 4. Strike out whichever is not applicable. 5. Agreement No. is mandatory-invariably it should be 15 digits finacle Account No. 6. All columns should be filled properly. 7. Incomplete forms will not be accepted.

# AXIS SECURITIES LTD. DP ID : IN304295

### PLEDGE / HYPOTHECATION FORM

Auram Q parc , Q2 building , Unit no. 1001 , 10th floo	r, level - 6, Plot no. 4 / 1, TTC, Thank	e – belapur road , Ghansoli , navi Mumbai – 4007	<ol><li>dphelp@axisdirect.i</li></ol>
--	--	--	---------------------------------------

Serial N	Serial No. Please tick in any one of the boxes as may be applicable :																							
														☐ Confirm the creation of Pledge / Hypothecation										
☐ Close the Pledge / Hypothecation											Confirm the closure of Pledge / Hypothecation													
<ul><li>☐ Invoke the Pledge / Hypothecation</li><li>☐ Unilateral closure of Pledge / Hypothecation</li></ul>											☐ Confirm the Invocation / Hypothecation													
									Instruction Typ															
Date														mstruction typ	e (Fleage / F			1						
Client I	D (pre-stamped)	(pre-stamped)												Counterparty F	Pledgor / Pled	dgee Client ID								
Client Name														Counterparty F	Counterparty Pledgor / Pledgee Client Name									
	nent No. vided by Pledgee)	'ledgee)												Counterparty F	Pledgor / Pled	dgee DP ID	ı	N						
Closure Date													<u>'</u>	Counterparty I	Counterparty Pledgor / Pledgee DP Name					•				
Sr. No.	Sr. No. ISIN						Security Name					е		Reason & release date for locked-in-securities (if any)	Quantity (in figures)	Quar (in wo			(Refe		der No. ction No.2 d by DP)	(To b	son for re (if any e filled in of confir	n at the
1																								
2																								
3																								
4																								
5																								
																	Exec	ution D	ate :		/			
Participant Stamp, Date & Time								E	Ente	red	by			Checked & F	Released by	Authorised Sig	)							

#### Instructions:

<sup>1.</sup> Pledged Quantity, Closure Quantity or the Invoked Quantity to be mentioned as the case may be. 2. Pledge Order No. to be filled in by the client (except at the time of creation of the pledge to be filled in by the Participant). 3. Locked in Securities cannot be invoked before the lock-in release date. 4. Strike out whichever is not applicable. 5. Agreement No. is mandatory-invariably it should be 15 digits finacle Account No. 6. All columns should be filled properly. 7. Incomplete forms will not be accepted.

## AXIS SECURITIES LTD. DP ID : IN304295

## PLEDGE / HYPOTHECATION FORM

Auram Q parc, Q2 building, Unit no. 1001, 10th floor, level - 6, Plot no. 4 / 1, TTC, Thane - belapur road, Ghansoli, navi Mumbai - 400710. Email: dphelp@axisdirect.in

Serial N	Serial No. Please tick in any one of the boxes as may be applicable :																								
														☐ Confirm the creation of Pledge / Hypothecation											
☐ Close the Pledge / Hypothecation												Confirm the closure of Pledge / Hypothecation													
☐ Invoke the Pledge / Hypothecation																									
☐ Unilateral closure of Pledge / Hypothecation												☐ Confirm t	The Invocation	/ пуропесацоп											
Date														Instruction Typ	e (Pledge / H	ypothecation)									
Client I	D (pre-stamped)													Counterparty F	Counterparty Pledgor / Pledgee Client ID										
Client Name														Counterparty F	Counterparty Pledgor / Pledgee Client Name										
	nent No. vided by Pledgee)	ledgee)												Counterparty F	Pledgor / Pled	dgee DP ID	ı	N							
Closure Date													Counterparty I	Counterparty Pledgor / Pledgee DP Name											
Sr. No.	Sr. No. ISIN						Security Name					)		Reason & release date for locked-in-securities (if any)	Quantity	Quar (in wo			(Refer	Pledge Order No. (Refer Instruction No.2) (To be filled by DP)			Reason for rejection (if any) (To be filled in at the time of confirmation)		
1																									
2																									
3																									
4																									
5																									
																Exec	ution Da	ate :	_/	′					
Participant Stamp, Date & Time							Е	nte	red	by			Checked & F	Released by	Authorised Sig										

#### Instructions:

<sup>1.</sup> Pledged Quantity, Closure Quantity or the Invoked Quantity to be mentioned as the case may be. 2. Pledge Order No. to be filled in by the client (except at the time of creation of the pledge to be filled in by the Participant). 3. Locked in Securities cannot be invoked before the lock-in release date. 4. Strike out whichever is not applicable. 5. Agreement No. is mandatory-invariably it should be 15 digits finacle Account No. 6. All columns should be filled properly. 7. Incomplete forms will not be accepted.