AXIS SECURITIES LTD.   DP ID : IN304295   PLEDGE / HYPOTHECATION FORM

Auram Q parc , Q2 building , Unit no. 1001 , 10th floor , level – 6 , Plot no. 4 / 1 , TTC , Thane – belapur road , Ghansoli , navi Mumbai – 400710. Email : dphelp@axisdirect.in

Serial No.

Please tick in any one of the boxes as may be applicable :

☐   Create the Pledge / Hypothecation

☐   Close the Pledge / Hypothecation

☐   Invoke the Pledge / Hypothecation

☐   Unilateral closure of Pledge / Hypothecation

☐   Confirm the creation of Pledge / Hypothecation

☐   Confirm the closure of Pledge / Hypothecation

☐   Confirm the Invocation / Hypothecation

Date	Instruction Type (Pledge / Hypothecation)
Client ID (pre-stamped)	Counterparty Pledgor / Pledgee Client ID
Client Name	Counterparty Pledgor / Pledgee Client Name
Agreement No. (as provided by Pledgee)	Counterparty Pledgor / Pledgee DP ID I N
Closure Date	Counterparty Pledgor / Pledgee DP Name

Sr. No.	ISIN	Security Name	Reason & release date for locked-in-securities (if any)	Quantity (in figures)	Quantity (in words)	Pledge Order No. (Refer Instruction No.2) (To be filled by DP)	Reason for rejection (if any) (To be filled in at the time of confirmation)
1							
2							
3							
4							
5							
						Execution Date : ____/____/____	

Participant Stamp, Date & Time	**Entered by**	**Checked & Released by**	**Authorised Signatory (ies)**

Instructions :

1. Pledged Quantity, Closure Quantity or the Invoked Quantity to be mentioned as the case may be. **2.** Pledge Order No. to be filled in by the client (except at the time of creation of the pledge to be filled in by the Participant). **3.** Locked in Securities cannot be invoked before the lock-in release date. **4.** Strike out whichever is not applicable. **5.** Agreement No. is mandatory-invariably it should be 15 digits finacle Account No. **6.** All columns should be filled properly. **7.** Incomplete forms will not be accepted.

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Serial No.	**Please tick in any one of the boxes as may be applicable :**

☐ Create the Pledge / Hypothecation   ☐ Confirm the creation of Pledge / Hypothecation

☐ Close the Pledge / Hypothecation   ☐ Confirm the closure of Pledge / Hypothecation

☐ Invoke the Pledge / Hypothecation

☐ Unilateral closure of Pledge / Hypothecation   ☐ Confirm the Invocation / Hypothecation

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