

Auram Q parc, Q2 building, Unit no. 1001, 10th floor, level - 6, Plot no. 4/1, TTC, Thane - belapur road, Ghansoli, navi Mumbai - 400710. Email: dphelp@axisdirect.in

| Serial No. | | Please tick in any one of the boxes as may be applicable : | | | | | |
|---|------|---|---|-----------------------|---------------------|--|---|
| <input type="checkbox"/> Create the Pledge / Hypothecation | | <input type="checkbox"/> Confirm the creation of Pledge / Hypothecation | | | | | |
| <input type="checkbox"/> Close the Pledge / Hypothecation | | <input type="checkbox"/> Confirm the closure of Pledge / Hypothecation | | | | | |
| <input type="checkbox"/> Invoke the Pledge / Hypothecation | | <input type="checkbox"/> Confirm the Invocation / Hypothecation | | | | | |
| <input type="checkbox"/> Unilateral closure of Pledge / Hypothecation | | | | | | | |
| Date: | | Instruction Type (Pledge / Hypothecation) | | | | | |
| Client ID (pre-stamped) | | Counterparty Pledgor / Pledgee Client ID | | | | | |
| Client Name | | Counterparty Pledgor / Pledgee Client Name | | | | | |
| Agreement No. (as provided by Pledgee) | | Counterparty Pledgor / Pledgee DP ID | | I | N | | |
| Closure Date: | | Counterparty Pledgor / Pledgee DP Name | | | | | |
| Sr. No. | ISIN | Security Name | Reason & release date for locked-in-securities (if any) | Quantity (in figures) | Quantity (in words) | Pledge Order No. (Refer Instruction No.2) (To be filled by DP) | Reason for rejection (if any) (To be filled in at the time of confirmation) |
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| | | | | | | Execution Date: ___/___/___ | |
| Participant Stamp, Date & Time | | Entered by | | Checked & Released by | | Authorised Signatory (ies) | |

Undertaking

- The pledger undertakes to provide reasonable notice to the pledger and comply with the requirements of Sections 176 and 177 of the Indian Contract Act, 1872.
- The pledger and pledgee undertake to abide by the provisions of the Indian Contract Act, 1872, the Depositories Act, SEBI Regulations, circulars, and byelaws in force from time to time, as may be applicable.

Instructions

- Pledged Quantity, Closure Quantity or the Invoked Quantity to be mentioned as the case may be.
- Pledge Order No. to be filled in by the client (except at the time of creation of the pledge to be filled in by the Participant).
- Locked in Securities cannot be invoked before the lock-in release date.
- Strike out whichever is not applicable.
- Agreement No. is mandatory-invariably it should be 15 digits finacle Account No.
- All columns should be filled properly.
- Incomplete forms will not be accepted.

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| Date: | | Instruction Type (Pledge / Hypothecation) | | | | | |
| Client ID (pre-stamped) | | Counterparty Pledgor / Pledgee Client ID | | | | | |
| Client Name | | Counterparty Pledgor / Pledgee Client Name | | | | | |
| Agreement No. (as provided by Pledgee) | | Counterparty Pledgor / Pledgee DP ID | | I | N | | |
| Closure Date: | | Counterparty Pledgor / Pledgee DP Name | | | | | |
| Sr. No. | ISIN | Security Name | Reason & release date for locked-in-securities (if any) | Quantity (in figures) | Quantity (in words) | Pledge Order No. (Refer Instruction No.2) (To be filled by DP) | Reason for rejection (if any) (To be filled in at the time of confirmation) |
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Undertaking

- The pledgee undertakes to provide reasonable notice to the pledger and comply with the requirements of Sections 176 and 177 of the Indian Contract Act, 1872.
- The pledger and pledgee undertake to abide by the provisions of the Indian Contract Act, 1872, the Depositories Act, SEBI Regulations, circulars, and byelaws in force from time to time, as may be applicable.

Instructions

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| Date: | | | | Instruction Type (Pledge / Hypothecation) | | | | | | | | | | | |
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