

TRANSMISSION FORM 30

Date:

To,
Axis Securities Ltd.
Aurum Q Parc, Q2 Building, Unit No. 1001, 10th Floor,
Level – 6, Plot No. 4/1 TTC, Thane - Belapur Road, Ghansoli,
Navi Mumbai, Pin Code – 400710.

I/We, the undersigned, being the

- ☐ Executor(s) of the Will
 ☐ Administrator(s) of the Estate
 ☐ Successor(s) to the Estate
- ☐ Legal heir(s)
 ☐ Joint holder(s)
 ☐ Nominee

of Mr./Mrs./Ms. _____, Mr./Mrs./Ms. _____ and
Mr./Mrs./Ms. _____, the deceased, of which * nomination / Succession Certificate/ Probate of
Will/ Will/ Letter of Administration/ Court Decree as may be applicable in terms of the Indian Succession Act, 1925 or Legal Heirship Certifi-
cate (or its equivalent Certificate) issued by competent Government Authority, was duly granted / issued to me / us on the _____ day of
_____ of _____ hereby request you to register me/us as the beneficial owner(s) in respect of the securities standing in the name of the said
deceased under Client ID _____ DP ID _____.

I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:

Name	Client ID	DP ID

*Please attach relevant proof

Name of the deceased BO: _____

Account Number of the deceased: DP ID Client ID

Date of the Death of Deceased Sole Holder

PAN of Deceased holder

Claimant Details*:

Name _____

Mobile No. _____

Email Id _____

Address _____

Relationship with Deceased Holder _____

Kindly transmit all securities in the deceased BO's account mentioned above to the BO account mentioned below.

Declaration:

(Applicable only for legal heir(s)/ claimant(s) where deceased was a sole holder and no nomination in the account - Value of holding exceeding / not exceeding Rs. 15,00,000 (Rs. Fifteen Lakhs) as on the date of application)

We declare that the below mentioned person(s) are the only legal heir(s) /claimant(s) of the deceased and there are no other legal heir(s)/claimant(s). Of these, as specified below, some/all of them are legal heir(s) / non-claimant(s) and some of them have given a No Objection Certificate relinquishing their rights on the securities held in the aforesaid Beneficial Owner account in favour of other legal heir(s)/claimant(s). Details are given below:

Sr. No.	Name of the legal heir(s)	Specify whether a legal heir(s) / claimant(s) given a No Objection Certificate

Signatures:

Sr. No.	Name of the Claimant	Signature of the Claimant

Notes:

This request form should be signed by the surviving joint holder(s)/ legal/heir(s) /claimant(s) / nominee, as the case may be.
(*Strike off whichever is not applicable.)

Please Note:

- IPV OSV can be obtained at any of the nearest Axis Bank branch from the branch personnel
- * Odd Lot Declaration: For transmission of securities which are held in odd numbers to be shared amongst the Legal Heirs if there are more than 1 Legal heirs
- # A separate Trade Indemnity Bond (Annexure IE) would be required if there are any transactions made in the account post the day of death for all cases
- # A separate Nominee Indemnity Bond would be required if the account was opened before 03-01-2009 & if the holding value is above greater than or equal to 15lacs

PLEASE REFER THE LIST OF APPLICABLE DOCUMENTS REQUIRED					
Sr. No.	Type of Document	Nominee	Joint Holder	Legal Heir - Claimant (Below 15 lacs)	Legal Heir - Claimant (15 lacs or above)
1	Transmission Form – Signed by all Legal Heir/s NSDL: Click Here to download CDSL: Click Here to download	YES	YES	YES	YES
2	Death Certificate - Original or copy attested by a notary public or by a gazetted officer	YES	YES	YES	YES
3	Self attested Copy of PAN and Aadhaar of Claimant/s with IPV OSV*	YES	YES	YES	YES
4	CML generated from the NSDL/CDSL system, signed and stamped by the issuing Depository Participant required	YES	YES	YES	YES
5	Affidavit* - Notarized on a Rs.100 stamp paper from all the claimant/s - Should be separate for each claimant duly verified by a Magistrate / Notary & it should be in favour of Axis Securities	NO	NO	YES	YES
6	Indemnity Bond - Made on a non-judicial stamp paper of Rs.500/- from all the legal heir(s) / claimant(s) to whom the securities are transmitted duly verified by a Magistrate / Notary & it should be in the favour of Axis Securities	NO	NO	YES	YES
7	No Objection Certificate(s) - Made on a non-judicial stamp paper of Rs.100/-from all the legal heir(s) / non claimants who do not wish to claim for transmission of securities OR Copy of Family Settlement Deed duly executed by all legal heir(s), duly attested by a notary public or by a gazetted officer	NO	NO	Only From Non Claimants	Only From Non Claimants
IF A CUSTOMER HAS ANY OF THE FOLLOWING DOCUMENTS NO INDEMNITY WOULD BE REQUIRED					
8.a	Copy of the Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR	NO	NO	Optional	Any 1 of these documents is compulsorily required
8.b	Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR	NO	NO	Optional	
8.c	Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; OR	NO	NO	Optional	
8.d	Copy of Probate* of Will (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;	NO	NO	Optional	

