

**FORM 30**  
**TRANSMISSION FORM**

To

Axis Securities Limited,  
Unit No. 2, Phoenix Market  
City, 15 LBS Road, Near  
Kamani Junction, Kurla (west),  
Mumbai-400070.  
E-mail: dphelp@axisdirect.in  
Tel. No: 022-68515400

Date

D	D

M	M

Y	Y	Y	Y

**(1) I/We, the undersigned, being the**

Executor(s) of the Will


Legal heir(s)


Administrator(s) of the Estate

Joint holder(s)

Successor(s) to the Estate

Nominee

of Mr./Mrs./Ms. \_\_\_\_\_,  
Mr./Mrs./Ms. \_\_\_\_\_ and  
Mr./Mrs./Ms. \_\_\_\_\_, the  
deceased, of which \*nomination / probate / letter of administration / succession  
certificate was duly granted to me / us on the  
\_\_\_\_\_ day of \_\_\_\_\_ of  
\_\_\_\_\_ hereby request you to register me/us as the beneficial  
owner(s) in respect of the securities standing in the name of the said deceased  
under Client ID \_\_\_\_\_ DP ID **IN304295**

**(2) I/We give hereunder the details of my/our account with a Participant to  
which the security balances are requested to be transmitted:**

Name	Client ID	DP ID

**(3) List of Documents enclosed (for Individual accounts) (tick as applicable):**

**A** For surviving holder(s) in a joint account:

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

**B** For nominee of the deceased:

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the nominee

**C** For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding ₹5 lakh on the date of application:

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant

Indemnity( *format given at Annexure OC*)

Affidavit (*format given at Annexure OD*)

No objection certificate(s) (*format given at Annexure OE*)

Family Settlement Deed [*as an alternate to No objection certificate(s)*]

**D** For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was ₹5 lakh or more on the date of application

Copy of death Certificate duly attested by a Notary Public or by a Gazetted Officer

In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant

Succession certificate  Letter of Administration  Probate of the Will

**(4) Declaration:**

*(Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding ₹5 Lakh on the date of application)*

We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:

<b>Sr. No.</b>	<b>Name of the legal heir(s)</b>	<b>Specify whether a claimant or given a No Objection Certificate</b>
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**(5)Signatures:**

<b>Sr. No.</b>	<b>Name of claimant</b>	<b>Signature of claimant</b>

- Notes:** (1) This request form should be signed by the surviving joint holder(s)/ legal heir(s)/ legal representative(s)/ nominee, as the case may be.
- (2) \* Strike off whichever is not applicable.