

**FORM 39 – HOLD ON SECURITIES FOR NON DISPOSAL UNDERTAKING/ AGREEMENT**

<b>Axis Securities Ltd</b> Unit No. 2, 15 LBS Marg, 2nd Floor, Phoenix Market City Near Kamani Junction, Kurla (West), Mumbai - 400070				<b>Serial Number</b>							
				<b>Date</b>		D	D	M	M	Y	Y
I/We request you to (Please tick in any one of the boxes as may be applicable)											
<input type="checkbox"/> Create the Hold <input type="checkbox"/> Release the Hold				<input type="checkbox"/> Confirm the creation of Hold <input type="checkbox"/> Confirm the Release of Hold <input type="checkbox"/> Cancellation of the confirmation of Release of Hold							
Details of Client in whose account the securities are held						Details of Other party in whose favor hold is created					
DP ID						Other Party DP ID					
DP Name						Other Party DP Name					
Client ID						Other Party Client ID					
Client Name						Other Party Name					
To be filled in case of request of creation		Name of Company (if part of NDU)									
		Name of Promoters (if part of NDU)									
		NDU / Agreement Reference Number									
Please tick in any one of the boxes as may be applicable for cooling period of release the hold. (If not ticked default cooling period will be 4 days.)						<input type="checkbox"/> 2 days		<input type="checkbox"/> 3 days		<input type="checkbox"/> 4 days	
Sr. No.	ISIN	Security Name	Quantity (In Figures) (Refer Instruction No.1)	Quantity (In words) (Refer Instruction No.1)	Hold DM Order No. old DM Order No. (Refer Instruction No.2)	Reason for rejections (if any) (to be filled in at the time of confirmation)					
<b>Execution Date</b>		D	D	M	M	Y	Y	Y	Y	Please tick in case of request for creation hold <input type="checkbox"/> I/We _____ (name of the other party) hereby authorise the Participant of the Client holding the securities on which hold is being created to access the my / authorized signatory(ies) signatures as recorded in my / our aforesaid Demat Account.	
_____ Authorised Signatory(ies)				_____ Other Party Authorised Signatory(ies)							
Signatures of both Client as well as other party are required in case of request of creation of hold and release hold to be submitted to the Participant of Client.											

**Instructions:**

1. To be submitted in duplicate for acknowledgement.
2. Hold Quantity or Release Quantity to be mentioned as the case may be.
3. Hold DM Order No. to be filled by the client (except at the time of creation of hold to be filled in by the participant).
4. Strike out whichever is not applicable.