


**APPLICATION FORM - FOR INDIVIDUAL
KNOW YOUR CLIENT (KYC)**

(Please fill the form in ENGLISH and in BLOCK LETTERS)

A) IDENTITY DETAILS

1. Name of the Applicant
2. Father's / Husband's Name
3. a. Gender ☐ Male ☐ Female ☐ Transgender 3 b. Marital status ☐ Single ☐ Married
3. c. Date of Birth
4. a. Nationality ☐ Indian ☐ Other (Please specify) _____
4. b. Status ☐ Resident Individual ☐ Non-Resident ☐ Foreign National
5. a. PAN
- 5 b. Aadhaar Number, if any
6. Specify the proof of the Identity submitted ☐ Pan Card ☐ Any Others (Please specify) _____


B) OCCUPATION & INCOME (PLEASE TICK)

- ☐ Private Sector ☐ Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist
☐ Retired ☐ House-Wife ☐ Student ☐ Other

Income per annum: Rs. ☐ <1 Lac ☐ 1 to 5 Lac ☐ 5 to 10 Lac ☐ 10 to 25 Lac ☐ More than 25 Lac As on _____ OR
 Net worth is Rs. _____ As on _____. (Should not be older than 1 year)

C) ADDRESS DETAILS

1. Residence / Correspondence Address ☐ Correspondence Address ☐ Residence Address

 City / Town / Village PIN Code
 State Country
2. Specify the Proof of address submitted for Residence / Correspondence Address: _____
3. Contact Details: Tel (Off.) Tel. (Res.)
 Mobile No. Fax No.
 Email ID
4. Permanent Address (If different from above, Mandatory for Non-Resident Applicant to specify overseas address)

 City / Town / Village PIN Code
 State Country

D) DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief, and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue, or misleading or misrepresenting, I am aware that I may be held liable for it I/we understand that as an SEBI Registered Intermediary, Axis Securities Limited is required to upload / submit my / our KYC details along with a copy of my / our Aadhaar Card and other documents to KRA / CERSAI. I / we herewith give our consent to the Axis Securities Limited to upload / submit my / our Aadhaar Card and other documents along with required details to KRA / CERSAI am/we are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi Locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Date:

Place: _____


OFFICE USE ONLY

- ☐ Originals verified and Self-Attested Document copies received
☐ In-Person-Verification (IPV) Done:

- a. Name of the Person
- b. Designation
- c. Name of Organisation

Date:


Seal / Stamp of the Branch

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| KRA Registration Form – with KRA-KYC & FATCA |
| Pan Card (Clear Copy) |
| Valid Passport (Validity period should be more than six month from date of application) |
| Valid Visa copy (If visa is other than English language notarized translation of visa is required) |
| In Case of Electronic Reentry Visa, <ul style="list-style-type: none"> • Employment Contract Letter / Offer Letter is Required. • Local Bank Statement of Particular Country. • Passport with Latest Entry. • Annexure I with Customer Signature required. |
| Address Proof :- Valid Overseas Address proof. <ul style="list-style-type: none"> • Copy of Passport. • Copy of Bank statement (not more than 3 months old from the date of application) of Overseas or India based bank. • Copy of valid Permanent Driving License. • Copy of Utility bill (electricity/ gas/phone/ water – not more than 3 months old from the date of application). • Copy of Registered Purchase / Sale Deed or agreement (Landlord utility bill is required). • Copy of Foreign Government issued Identity Card. • Copy of Singapore/Malaysia Permanent Resident Card. |
| Valid Indian Address proof. <ul style="list-style-type: none"> • Copy of Passport. • Copy of valid Permanent Driving License. • Copy of Registered Purchase / Sale Deed or agreement (Landlord utility bill is required). • Copy of CDC issued by Government of India. • E) Copy of Aadhaar Card |
| Proof of Bank A/c (In case of existing all four bank a/c)(Only Demat & Trading a/c) <ul style="list-style-type: none"> • NRE-PIS :- PIS Permission letter • NRE-NonPIS :-SBNRE Bank Statement • NRO-PIS:- PIS Bank statement • NRO-NONPIS:- SBNRO Bank statement |
| For Mariner :- <ul style="list-style-type: none"> • Duly Signed mariners declaration provided in AOF. • Copy Valid Indian Address Proof. • Valid Contract letter or valid offer letter (Employer declaration with company seal stating the renewal of contract) • D)Valid CDC Booklet which shows last date of arrival in india with in six month • Copy of Valid Visa. |
| FOR PIO Holder (when passport is of foreign country) <ul style="list-style-type: none"> • PIO Card or OCI Card • Duly signed and filled in PIO declaration in NRI AOF. • Copy Valid Indian Address.(If written in NRI AOF) |
| Resident Permit / Cards can be accepted as visa.(If visa is other than English language notarised translation of visa is required) <ul style="list-style-type: none"> • Singapore Non Resident Card • Singapore Employment Pass. • Malaysian Resident Card. • Iqama of Gulf Countries • Electoral Card of Panama • Hong Kong Identity Card • Japan Permanent Resident Card. |
| <ul style="list-style-type: none"> - All the documents must be <ul style="list-style-type: none"> o Self Attested o 'Verified with Original' by Axis Bank/ Axis Securities Ltd employee - |