AXIS SECURITIES

NSDL
Technology, Trust & Reach

FORM 39– HOLD ON SECURITIES FOR NON DISPOSAL UNDERTAKING/ AGREEMENT

Axis Securities Ltd. Unit No. 1001, 10th Floor, Level – 6, Plot No. 4/TTC, Q2 Building,
Aurum Q Parc, Thane Belapur Road, Ghansoli, Navi Mumbai -400710
E -mail: dphelp@axisdirect.in | Tel. no.: 022 6851 5400

Serial Number								
Date	D	D	M	M	Y	Y	Y	Y

I/We request you to(Please tick in any one of the boxes as may be applicable)

☐ Create the Hold
☐ Release the Hold

☐ Confirm the creation of Hold
☐ Confirm the Release of Hold
☐ Cancellation of theconfirmation of Release of Hold

Details of Client in whose account the securities are held		Details of Other party in whose favor hold is created	
DP ID	IN304295	Other Party DP ID	
DP Name	Axis Securities Ltd.	Other Party DP Name	
Client ID		Other Party Client ID	
Client Name		Other Party Name	

To be filled in case of request of creation	Name of Company (if part of NDU)	
	Name of Promoters (if part of NDU)	
	NDU / Agreement Reference Number	

Please tick in any one of the boxes as may be applicable for cooling period of release the hold. (If not ticked default cooling period will be 4 days.)	☐ 2 days	☐ 3 days	☐ 4 days

Sr. No.	ISIN	Security Name	Quantity (In Figures) (Refer Instruction No.1)	Quantity (In words) (Refer Instruction No.1)	Hold DM Order No. old DM Order No. (Refer Instruction No.2)	Reason for rejections (if any) (to be filled in at the time of confirmation)

Execution Date	D	D	M	M	Y	Y	Y	Y

Please tick in case of request for creation hold
☐ I/We ___________________________(name of the other party) hereby authorise the Participant of the Client holding the securities on which hold is being created to access the my /authorized signatory(ies) signatures as recorded in my / our aforesaid Demat Account.

______ ___ ___________
Authorised Signatory(ies)

______________ _____________ ___
Other PartyAuthorised Signatory(ies)

Signatures of both Client as well as other party are required in case of request of creation of hold and release hold to be submitted to the Participant of Client.

Instructions:

1. To be submitted in duplicate for acknowledgement.
2. Hold Quantity or Release Quantity to be mentioned as the case may be.
3. Hold DM OrderNo. to be filled by the client (except at the time of creation of hold to be filled in by the participant).
4. Strike out whichever is not applicable.