AXIS SECURITIES

Trading Complaints received by Stock Brokers for the month of Oct'26

1. **Data for every month ending**

Proposed Total Trading Complaints Oct'26								
Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Received	Resolved*	Pending at the end of the month		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	11	71	82	71	11		3 working days
2	SEBI (SCORES 2.0)	4	15	19	13	6		3 working days
3	Stock Exchanges							
4	Other Sources (if any)							
5	Grand Total	15	86	101	84	17	0	3 working days

2. **Trend of monthly disposal of complaints**

Proposed FY 26-27 (Total Trading Complaints)					
Sr. No.	Month	Carried forward from the previous Month	Received	Resolved*	Pending**
1	Apr'26	7	126	117	16
2	May-26	16	88	87	17
3	Jun'26	17	90	91	16
4	Jul'26	16	85	96	5
5	Aug'26	5	126	111	20
6	Sep'26	20	88	93	15
7	Oct'26	15	86	84	17
8	Nov'26				
9	Dec'26				
10	Jan'27				
11	Feb'27				
12	Mar'27				

3. **Trend of annual disposal of complaints**

Total Trading Complaints

Sr. No.	Year	Carried Forward from previous Month	Received during the year	Resolved during the year	Pending at the end of year (As on Oct'26)
1	FY20-21	113	7524	7541	96
2	FY21-22	96	8784	8733	147
3	FY22-23	147	7109	7197	59
4	FY 23-24	59	7175	7162	72
5	FY 24-25	72	5221	5227	66
6	FY 25-26	66	3154	3213	7
7	FY 26-27	7	689	679	17