

DP Complaints received by Stock Brokers for the month of Feb'26

1. Data for every month ending

| Total DP Complaints Feb'26 | | | | | | | | |
|----------------------------|-------------------------|-------------------------------------|---------------------------|----------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| Sr. No. | Received from | Carried forward from previous month | Received during the month | Total Received | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 4 | 12 | 16 | 15 | 1 | 0 | 2 Working Days |
| 2 | SEBI (SCORES 2.0) | 0 | 6 | 6 | 4 | 2 | | 1 Working Days |
| 3 | Depositories | | | | | | 0 | |
| 4 | Other Sources (if any) | | | | | | | |
| 5 | Grand Total | 4 | 18 | 22 | 19 | 3 | 0 | 1 Working Days |

2. Trend of monthly disposal of complaints

| Proposed FY 25-26 (Total DP Complaints) | | | | | |
|---|--------|---|----------|-----------|-----------|
| Sr. No. | Month | Carried forward from the previous Month | Received | Resolved* | Pending** |
| 1 | Apr'25 | 9 | 59 | 64 | 4 |
| 2 | May-25 | 4 | 35 | 39 | 0 |
| 3 | Jun-25 | 0 | 33 | 30 | 3 |
| 4 | Jul-25 | 3 | 32 | 31 | 4 |
| 5 | Aug-25 | 4 | 49 | 50 | 3 |
| 6 | Sep-25 | 3 | 41 | 42 | 2 |
| 7 | Oct'25 | 2 | 25 | 23 | 4 |
| 8 | Nov'25 | 4 | 22 | 23 | 3 |
| 9 | Dec'25 | 3 | 14 | 16 | 1 |
| 10 | Jan'26 | 1 | 20 | 17 | 4 |
| 11 | Feb'26 | 4 | 18 | 19 | 3 |

3. Trend of annual disposal of complaints

| Total DP Complaints | | | | | |
|---------------------|----------|-------------------------------------|--------------------------|--------------------------|---|
| Sr. No. | Year | Carried Forward from previous Month | Received during the year | Resolved during the year | Pending at the end of year (As on Feb'26) |
| 1 | FY20-21 | 3 | 887 | 883 | 7 |
| 2 | FY21-22 | 7 | 865 | 863 | 9 |
| 3 | FY22-23 | 9 | 471 | 476 | 4 |
| 4 | FY 23-24 | 4 | 660 | 656 | 8 |
| 5 | FY 24-25 | 8 | 738 | 737 | 9 |
| 6 | FY 25-26 | 9 | 348 | 354 | 3 |