

**Complaints received by Stock Brokers for the month of Oct-2024 For Investment Advisory**

**1. Data for every month ending:**

Total IA Complaints								
Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time (in days)
						Pending for less than 3 Month's	Pending for more than 3 Month's	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	1	0	1	0	0	NA
2	SEBI (Scores)	0	0	0	0		0	NA
3	Stock Exchanges	0	0	0	0	0	0	NA
4	Other Sources (If Any)	0	0	0	0	0	0	NA
5	<b>Grand Total</b>	<b>NIL</b>	<b>1</b>	<b>NIL</b>	<b>1</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

- Should include complaints of previous months resolved in the current month, if any.
- Should include total complaints pending as on the last day of the month, if any.
- Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**2. Trend of monthly disposal of complaints:**

FY23-24(Total IA Complaints)					
Sr.No.	Month	Carried forward from the previous Month	Received	Resolved	Pending
1	Aug-23	0	0	0	0
2	Sep-23	0	0	0	0
3	Oct-23	0	0	0	0
4	Nov-23	0	0	0	0
5	Dec-23	0	0	0	0
6	Jan-24	0	0	0	0
7	Feb-24	0	0	0	0
8	Mar-24	0	0	0	0
9	Apr-24	0	0	0	0

10	May-24	0	0	0	0
11	Jun-24	0	0	0	0
12	Jul-24	0	0	0	0
13	Aug-24	0	0	0	0
14	Sep-24	0	1	1	0
15	Oct-24	0	1	1	0
<b>GrandTotal</b>		<b>NIL</b>	<b>1</b>	<b>1</b>	<b>NIL</b>

3. Trendofannualdisposalofcomplaints:

TotalIAComplaints					
Sr.No.	Year	CarriedForwardfrom previous Month	Receivedduring the year	Resolvedduring the year	Pendingatthe end of year
1	FY17-18	0	0	0	0
2	FY18-19	0	0	0	0
3	FY19-20	0	0	0	0
4	FY20-21	0	0	0	0
5	FY21-22	0	0	0	0
6	FY22-23	0	0	0	0
7	FY23-24	0	0	0	0
8	FY24-25	0	2	2	0
<b>GrandTotal</b>		<b>NIL</b>	<b>2</b>	<b>2</b>	<b>NIL</b>