

Complaints received by Stock Brokers for the month of March 2022 For Investment Advisory

1. Data for every month ending

Total IA Complaints								
Sr.No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	0	NA
3	Stock Exchanges	0	0	0	0	0	0	NA
4	Other Sources (if any)	0	0	0	0	0	0	NA
5	Grand Total	0	0	0	0	0	0	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

2. Trend of monthly disposal of complaints

FY 21-22 (Total IA Complaints)					
Sr.No.	Month	Carried forward from the previous Month	Received	Resolved	Pending
1	Apr-21	0	0	0	0
2	May-21	0	0	0	0
3	Jun-21	0	0	0	0
4	Jul-21	0	0	0	0
5	Aug-21	0	0	0	0
6	Sep-21	0	0	0	0
7	Oct-21	0	0	0	0
8	Nov-21	0	0	0	0
9	Dec-21	0	0	0	0
10	Jan-22	0	0	0	0
11	Feb-22	0	0	0	0
12	Mar-22	0	0	0	0
	Grand Total	0	0	0	0

3. Trend of annual disposal of complaints

Total IA Complaints					
Sr.No.	Year	Carried Forward from previous Month	Received during the year	Resolved during the year	Pending at the end of year
1	FY17-18	0	0	0	0
2	FY18-19	0	0	0	0
3	FY19-20	0	0	0	0
4	FY20-21	0	0	0	0
5	FY21-22	0	0	0	0
	Grand Total	0	0	0	0