Complaints received by Stock Brokers for the month of June 2024 for Portfolio Management Services

1. Data for every month ending

| | TotalPMSComplaints | | | | | | | | |
|---------|----------------------------|---|------------------------------|------------------|-----------|--------------------------------------|---------------------------------|--|--|
| Sr. No. | Received from | Carried forward from previous Month | Received During the month | Total Pending | Resolved* | Pending at the end Of the month** | | Average Resolution time^ (in days) | |
| | | | | | | Pending for less than3 months | Pending for more than3months | | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 | |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | NA | |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | | 0 | NA | |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 | NA | |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | NA | |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

2. Trend of monthly disposal of complaints

| FY24-25(TotalPMSComplaints) | | | | | | |
|-----------------------------|--------|---|----------|----------|---------|--|
| Sr. No. | Month | Carried forward From the Previous Month | Received | Resolved | Pending | |
| 1 | Apr-24 | 0 | 0 | 0 | 0 | |
| 2 | May-24 | 0 | 0 | 0 | 0 | |
| 3 | Jun-24 | 0 | 0 | 0 | 0 | |
| 4 | Jul-24 | - | - | - | - | |
| 5 | Aug-24 | - | - | - | - | |
| 6 | Sep-24 | - | - | - | - | |
| 7 | Oct-24 | - | - | - | - | |
| 8 | Nov-24 | - | - | - | - | |
| 9 | Dec-24 | - | - | - | - | |
| 10 | Jan-25 | - | - | - | - | |
| 11 | Feb-25 | - | - | - | - | |
| 12 | Mar-25 | - | - | - | - | |
| Grand Total | | 0 | 0 | 0 | 0 | |

3. Trend of annual disposal of complaints

| TotalPMSCompliants | | | | | | | |
|--------------------|---------|----------------------|-----------------|-----------------|----------------|--|--|
| Sr. No. | Year | Carried Forward from | Received during | Resolved during | Pending at the | | |
| 51. NO. | | Previous Month | The year | The year | End of year | | |
| | | | | | - | | |
| 1 | FY17-18 | 0 | 0 | 0 | 0 | | |
| 2 | FY18-19 | 0 | 0 | 0 | 0 | | |
| 3 | FY19-20 | 0 | 0 | 0 | 0 | | |
| 4 | FY20-21 | 0 | 0 | 0 | 0 | | |
| 5 | FY21-22 | 0 | 0 | 0 | 0 | | |
| 6 | FY22-23 | 0 | 0 | 0 | 0 | | |
| 7 | FY23-24 | 0 | 0 | 0 | 0 | | |
| 8 | FY24-25 | 0 | 0 | 0 | | | |
| Grand Total | | 0 | 0 | 0 | 0 | | |