

Complaints received by Stock Brokers for the month of January 2024 Research

1. Data for every month ending

| | Total Research Complaints (January 2024) | | | | | | | |
|--------|--|---|------------------------------|------------------|-----------|--------------------------------------|--------------------------------|--|
| Sr.No. | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

^{*}Should include complaints of previous months resolved in the current month, if any.

2. Trend of monthly disposal of complaints

| Feb 23 - Jan 24 (Total Research Complaints) | | | | | | |
|---|--------|---|----------|----------|---------|--|
| Sr.No. | Month | Carried forward from the previous Month | Received | Resolved | Pending | |
| 1 | Feb-23 | 0 | 0 | 0 | 0 | |
| 2 | Mar-23 | 0 | 0 | 0 | 0 | |
| 3 | Apr-23 | 0 | 0 | 0 | 0 | |
| 4 | May-23 | 0 | 0 | 0 | 0 | |
| 5 | Jun-23 | 0 | 0 | 0 | 0 | |
| 6 | Jul-23 | 0 | 0 | 0 | 0 | |
| 7 | Aug-23 | 0 | 0 | 0 | 0 | |
| 8 | Sep-23 | 0 | 0 | 0 | 0 | |
| 9 | Oct-23 | 0 | 0 | 0 | 0 | |
| 10 | Nov-23 | 0 | 0 | 0 | 0 | |
| 11 | Dec-23 | 0 | 0 | 0 | 0 | |
| 12 | Jan-24 | 0 | 0 | 0 | 0 | |
| Grand Total | | 0 | 0 | 0 | 0 | |

3. Trend of annual disposal of complaints

| Total Research Complaints | | | | | | | |
|---------------------------|---------|--|--------------------------|--------------------------|-------------------------------|--|--|
| Sr.No. | Year | Carried Forward from previous Month | Received during the year | Resolved during the year | Pending at the end of year | | |
| 1 | FY17-18 | 0 | 0 | 0 | 0 | | |
| 2 | FY18-19 | 0 | 0 | 0 | 0 | | |
| 3 | FY19-20 | 0 | 0 | 0 | 0 | | |
| 4 | FY20-21 | 0 | 0 | 0 | 0 | | |
| 5 | FY21-22 | 0 | 0 | 0 | 0 | | |
| 6 | FY22-23 | 0 | 0 | 0 | 0 | | |
| Grand Total | | 0 | 0 | 0 | 0 | | |

^{**}Should include total complaints pending as on the last day of the month, if any.

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month