

**DP Complaints received by Stock Brokers for the month of Aug 2022**

**1. Data for every month ending**

Total DP Complaints (Aug'22)								
Sr.No.	Received from	Carried forward from previous month	Received during the month	Total Received	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	7	32	39	33	6	0	2 Working Days
2	SEBI (SCORES)							
3	Depositories	0	4	4	3	1	0	2 Working Days
4	Other Sources (if any)							
5	Grand Total	7	36	43	36	7	0	2 Working Days

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

**2. Trend of monthly disposal of complaints**

FY 22-23 (Total DP Complaints)					
Sr.No.	Month	Carried forward from the previous Month	Received	Resolved*	Pending**
1	Apr-22	9	49	42	16
2	May-22	16	51	61	6
3	Jun-22	6	35	39	2
4	Jul-22	2	37	32	7
5	Aug-22	7	36	36	7
6					
7					
8					
9					
10					
11					
12					

**3. Trend of annual disposal of complaints**

Total DP Complaints					
Sr.No.	Year	Carried Forward from previous Month	Received during the year	Resolved during the year	Pending at the end of year (As on Aug'22)
1	FY17-18				
2	FY18-19				
3	FY19-20		403	400	3
4	FY20-21	3	887	883	7
5	FY21-22	7	865	863	9
6	FY22-23	9	208	210	7