

## Complaints received by Stock Brokers for the month of November 2023 For Portfolio Management Services

### 1. Data for every month ending

TotalPMSComplaints								
Sr.No.	Receivedfrom	Carriedforwardfrom previous Month	Received duringthethmonth	Total Pending	Resolved*	Pendingattheend ofthethmonth**		Average Resolutiontime^ (indays)
						Pendingforless than3 months	Pendingformore than3months	
1	2	3	4	5	6	7		8
1	Directlyfrom Investors	0	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0		0	NA
3	Stock Exchanges	0	0	0	0	0	0	NA
4	OtherSources (ifany)	0	0	0	0	0	0	NA
5	<b>GrandTotal</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Shouldincludecomplaintsofpreviousmonthsresolvedin thecurrentmonth,ifany.

\*\*Should includetotal complaintspendingas onthelastdayofthethmonth,ifany.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaintsresolved inthethcurrentmonth

### 2. Trendof monthlydisposal of complaints

FY23-24(TotalPMSComplaints)					
Sr.No.	Month	Carriedforward fromthe previousMonth	Received	Resolved	Pending
1	Apr-23	0	0	0	0
2	May-23	0	0	0	0
3	Jun-23	0	0	0	0
4	Jul-23	0	0	0	0
5	Aug-23	0	0	0	0
6	Sep-23	0	0	0	0
7	Oct-23	0	0	0	0
8	Nov-23	0	0	0	0
9	Dec-23	-	-	-	-
10	Jan-24	-	-	-	-
11	Feb-24	-	-	-	-
12	Mar-24	-	-	-	-
<b>GrandTotal</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

### 3. Trendofannualdisposalofcomplaints

TotalPMSComplaints					
Sr.No.	Year	CarriedForwardfrom previousMonth	Receivedduring theyear	Resolvedduring theyear	Pendingatthe endofyear
1	FY17-18	0	0	0	0
2	FY18-19	0	0	0	0
3	FY19-20	0	0	0	0
4	FY20-21	0	0	0	0
5	FY21-22	0	0	0	0
6	FY22-23	0	0	0	0
7	FY23-24	0	0	0	0
<b>GrandTotal</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>