

### Trading Complaints received by Stock Brokers for the month of Dec 2022

#### 1. Data for every month ending

Total Trading Complaints (Dec'22)								
Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Received	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	131	825	956	710	246	0	2 working days
2	SEBI (SCORES)						0	
3	Stock Exchanges	3	12	15	9	6	0	4 days
4	Other Sources (if any)						0	0
5	Grand Total	134	837	971	719	252	0	2 working days

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

#### 2. Trend of monthly disposal of complaints

FY 22-23 (Total Trading Complaints)					
Sr. No.	Month	Carried forward from the previous Month	Received	Resolved*	Pending**
1	Apr-22	147	615	674	88
2	May-22	88	598	596	90
3	Jun-22	90	568	601	57
4	Jul-22	57	585	605	37
5	Aug-22	37	679	628	88
6	Sep-22	88	570	573	85
7	Oct'22	85	482	506	61
8	Nov'22	61	644	571	134
9	Dec'22	134	837	719	252
10					
11					
12					

### 3. Trend of annual disposal of complaints

Total Trading Complaints					
Sr. No.	Year	Carried Forward from previous Month	Received during the year	Resolved during the year	Pending at the end of year (As on Dec'22)
1	FY17-18	65	8123	8084	104
2	FY18-19	104	5253	5310	47
3	FY19-20	47	3903	3837	113
4	FY20-21	113	7524	7541	96
5	FY21-22	96	8784	8733	147
6	FY22-23	147	5578	5473	252