

## Complaints Received by Stock Brokers for the Month of November 2024: Research

## 1. Data for every month ending

Total Research Complaints (November 2024)								
Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	0	NA
3	Stock Exchanges	0	0	0	0	0	0	NA
4	Other Sources (if any)	0	0	0	0	0	0	NA
5	Grand Total	0	0	0	0	0	0	0

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

## 2. Trend of monthly disposal of complaints

Dec'23 - Nov'24 (Total Research Complaints)							
Sr. No.	Month	Carried forward from the previous Month	Received	Resolved	Pending		
1	Dec-23	0	0	0	0		
2	Jan-24	0	0	0	0		
3	Feb-24	0	0	0	0		
4	Mar-24	0	0	0	0		
5	Apr-24	0	0	0	0		
6	May-24	0	0	0	0		
7	Jun-24	0	0	0	0		
8	Jul-24	0	0	0	0		
9	Aug-24	0	0	0	0		
10	Sep-24	0	0	0	0		
11	Oct-24	0	0	0	0		
12	Nov-24	0	0	0	0		
<b>Grand Total</b>		0	0	0	0		

## 3. Trend of annual disposal of complaints

Total Research Complaints							
Sr.No.	Year	Carried Forward from previous Month	Received during the year	Resolved during the year	Pending at the end of year		
1	FY18-19	0	0	0	0		
2	FY19-20	0	0	0	0		
3	FY20-21	0	0	0	0		
4	FY21-22	0	0	0	0		
5	FY22-23	0	0	0	0		
6	FY23-24	0	0	0	0		
7	FY24-25	0	0	0	0		
Grand Total		0	0	0	0		

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month