

Aurum Q Parc, Q2 Building, Unit No. 1001, 10th Floor, Level – 6, Plot No. 4/TTC, Thane Belapur Road, Ghansoli,
Navi Mumbai-400710. Tel. no.: 022-40508080 E-mail: helpdesk@axisdirect.in

SEBI Reg. No. IN-DP-403-2019

Branch _____

Serial No:
PLEDGE REQUEST FORM (PRF)
☐ Setup of Pledge ☐ Confirmation of Pledge ☐ Rejection of Pledge

Please fill all the details in **Block Letters** in English

PRF NO.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such Pledges.

Pledgor's Details															
DP ID									Client ID						
Pledgor's Name	1. _____ 2. _____ 3. _____														

Pledgee's Details															
DP ID									Client ID						
Pledgee's Name	1. _____ 2. _____ 3. _____														

Details of Securities	☐ Free Securities	☐ Locked - in Securities
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Details of Securities offered for Pledge.							
Sr. no.	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y
Pledge Reason [tick mark whichever is applicable]	Collateral -Debt I issuance by Co./Grp Co. ☐	Collateral for loan by Company/Gro up Co ☐	Collateral for loan by the Third Party ☐	Margin Pledge/MTF for Exchange Trade ☐	Personal use by promoters and PACs ☐			
	Collateral for Self Loan ☐	CUSPA Pledge ☐	MFOS Pledge ☐					
PAN of the Ultimate Lender :								

Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection Pledge		
Pledge Reason [tick mark whichever is applicable]	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.

Undertaking

- The pledgee undertakes to provide reasonable notice to the pledger and comply with the requirements of Sections 176 and 177 of the Indian Contract Act, 1872.
- The pledger and pledgee undertake to abide with the provisions of the Indian Contract Act, 1872, the Depositories Act, SEBI Regulations, circulars, and byelaws in force from time to time, as may be applicable.

To be filled and signed in case of Set-up / Rejection of Pledge by Pledgor BO

Signature of the Pledgor		
First / Sole Applicant	Second Applicant	Third Applicant

To be filled in case of acceptance / Rejection of Pledge by Pledgee BO

As a pledgor and pledgee, I/We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption / maturity / expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity /expiry of the securities.

Signature of Pledgee(s)*		
First Holder	Second Holder	Third Holder

Depository Participant Seal and Signature

Instructions : 1. Pledged Quantity, Closure Quantity or the Invoked Quantity to be mentioned as the case may be. 2. Pledge Sequence No. to be filled in by the client (except at the time of creation of the pledge to be filled in by the Participant). 3. Locked in Securities cannot be invoked before the lock-in release date. 4. Strike out whichever is not applicable. 5. Agreement No. is mandatory. 6. All columns should be filled properly & incomplete forms will not be accepted. 7. You may attach annexure if the space above is found to be insufficient duly signed by all holders.