AXIS SECURITIES

NSDL
FORM 43 – MARGIN PLEDGE FORM

NSDL Technology, Trust & Reach

To,
Axis Securities Ltd.
Unit No. 1001, 10th Floor, Level – 6, Plot No. 4/TTC, Q2 Building,
Aurum Q Parc, Thane Belapur Road, Ghansoli, Navi Mumbai-400710
E-mail: dphelp@axisdirect.in, Tel. no.: 022 6851 5400

									Serial Number	
									Date	D D M M Y Y Y Y
DP ID	I	N							Client ID	Prestamped

'I/We request you to execute margin pledge instructions as per following details. Further, I/we hereby give my/our express consent for re-pledge of the securities by the Trading Member to Clearing Member and further by the Clearing Member to the Clearing Corporation.

Pledgor	Pledgee
☐ Initiate Margin Pledge/Re-pledge ☐ Request Release of Margin Pledge/Re-Pledge ☐ Request Release Margin Pledge and early pay-in	☐ Confirm Margin Pledge/Re-Pledge Initiation ☐ Release Margin Pledge/Re-Pledge ☐ Release Margin Pledge and early pay-in ☐ Invoke Margin Pledge/Re-Pledge ☐ Invoke Margin Pledge and early pay-in ☐ Invoke Margin Pledge and redemption (Refer note 6)

Counterparty DP ID		Agreement No.	
Counterparty Client ID		Indicative Closure Date	D D M M Y Y Y Y
Counterparty Client Name		Execution Date	D D M M Y Y Y Y

Unique Client Code		Segment ID	
Trading Member Code/ID		Trading Member Name	
		Stock Exchange Name/ID	
Clearing Member ID		Clearing Corporation Name/ID	
Settlement Number		Market Type	
CM BP ID		Other Settlement Details (Refer Instruction No. 5)	

Sr. No.	ISIN	Security Name	Lock-In Reason and Release Date (If Any)	Quantity (In Figures)	Quantity (In words)	Margin Pledge Order Number	Consideration Amount (₹) (To be filled in case of Invocation)

Undertaking
- The pledgee undertakes to provide reasonable notice to the pledger and comply with the requirements of Sections 176 and 177 of the Indian Contract Act, 1872.
- The pledger and pledgee undertake to abide with the provisions of the Indian Contract Act, 1872, the Depositories Act, SEBI Regulations, circulars, and byelaws in force from time to time, as may be applicable.

	Pledgor			Pledgee		
BOID						
Account Holder	Sole/First Holder	Second Holder	Third Holder	Sole/First Holder	Second Holder	Third Holder
Signature						

Instructions:
1. To be submitted in duplicate for acknowledgement.
2. Margin Pledge Order No. to be filled in by the client (except for margin pledge initiation - to be filled in by the Participant).
3. Locked-in securities cannot be invoked before the lock-in release date.
4. Strike out whichever is not applicable.
5. Applicable and mandatory if Clearing Member account with other depository. In such cases details of the CM BP ID,Clearing Corporation name/ID, Market Type and Settlement number details ar e not applicable.
6. Redemption is applicable for non-exchange traded units