

MARGIN PLEDGE / REPLEDGE REQUEST FORM (MPRF)


☐ Setup of Margin Pledge ☐ Setup of Margin Re-Pledge ☐ Confirmation of Margin Pledge ☐ Confirmation of Margin Re-Pledge
☐ Rejection of Margin Pledge ☐ Rejection of Margin Re-Pledge

Axis Securities Limited,
 Aurum Q Parc, Q2 Building, Unit No. 1001, 10th Floor, Level
 - 6, Plot No. 4/1 TTC, Thane - Belapur Road, Ghansoli, Navi
 Mumbai, Pin Code - 400710

MPRF No.								
Date	D	D	M	M	Y	Y	Y	Y

Please fill all the details in Block Letters in English.

I/We request you to create the margin pledge, re-pledge / confirm the creation of margin pledge / re-pledge for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to margin pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details																
DP ID										Client ID						
UCC																
Code/CPT MID																
CMID																
STOCK EXCHANGE							CLEARING CORPORATION			SEGMENT						
Pledgor's Name	1															
	2															
	3															

Pledgee's Details																
DP ID										Client ID						
Pledgee's Name	1															
	2															
	3															

Details of Securities: ☐ Free Securities

Details of Securities offered for Margin Pledge/Margin Repledge

Sr. No	ISIN	Company Name	Quantity	PSN (System Generated)	Accepted / Rejected by Pledge	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y

Note : As a pledgor and pledgee, We are aware that the margin pledge / re-pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities margin pledged and that the records of margin pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

I/ we hereby provide our consent for the repledge of the securities under margin pledge by the pledgee (i.e. Trading Member) to the Clearing Member and / or further to the Clearing Corporation.

Margin Pledge/ Re-pledge Rejection Details	Margin Pledge/Re-pledge Rejection by Pledgor DP	Margin Pledge/Re-pledge Pledge Rejection by Pledgee DP
Date of Rejection of Margin Pledge/ Re-pledge		
Margin Pledge/ Re-pledge Rejection Reason [tick mark whichever is applicable]	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.
If you have selected 'Others', then please specify the exact reason for rejection		

Undertaking

- The pledgee undertakes to provide reasonable notice to the pledger and comply with the requirements of Sections 176 and 177 of the Indian Contract Act, 1872.
- The pledger and pledgee undertake to abide with the provisions of the Indian Contract Act, 1872, the Depositories Act, SEBI Regulations, circulars, and byelaws in force from time to time, as may be applicable.

To be filled and signed in case of Set-up/Rejection of ☐ Margin Pledge ☐ Re-pledge by Pledgor BO

Signature of the Pledgor		
Sole / First Applicant	Second Applicant	Third Applicant

To be filled in case of acceptance/rejection of ☐ Margin Pledge ☐ Re-pledge by Pledgee BO

Signature of the Pledgee(s)		
Sole / First Applicant	Second Applicant	Third Applicant

Depository Participant Seal and Signature