

## **CDSL**

DP ID: 12049200

**SEBI** Reg. No. IN-DP-403-2019

Aurum Q Parc, Q2 Building, Unit No. 1001, 10th Floor, Level – 6, Plot No. 4/TTC, Thane Belapur Road, Ghansoli, Navi Mumbai-400710. Tel. no.: 022-40508080 E-mail: helpdesk@axisdirect.in

Please fill all the details in Block Letters in English  PRF NO.  Date	Branch				Serial No:												
Please fill all the details in Block Letters in English  PRF NO.  Date	PLEDGE REQUEST FORM (PRF)																
IWe request you to create the pledge / confirm the creation of pledge for the following securities. I/We have read a understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such Pledges.    Pledgor's Details			Setup of	Pledge [	<b></b> (	Confir	mation	of Pl	edge	Re	jecti	on of F	Pled	ge			
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Total Pledge Value (Rs.)  Agreement No.  Date of Pledging  Collateral -Debt I   Collateral for   Issuance by   Ioan by   Ioan by the   Pledge/MTF   Ioan by   Ioan by	Pledge Execution D	Date	D		)		M		M	Y		Υ			/		Υ
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issuance by loan by loan by the Pledge/MTF use by	Date of Pledging					<u> </u>				Y		Y					Υ.
Pledge Reason [tick mark whichever is applicable] up Co					le C	loan by Company/Gro up Co			loan by the Third Party		Pledge/MTF for Exchange			use pro an	use by promoters and PACs		
Collateral for Self Loan CUSPA Pledge MFOS Pledge  CUSPA Pledge MFOS Pledge  CUSPA Pledge MFOS Pledge			Self Loan		(												



Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection Pledge		
Pledge Reason [tick mark whichever is applicable]	<ul> <li>□ 01 Units not available for Amount requested.</li> <li>□ 02 Closure Date not accepted.</li> <li>□ 03 Pledged Quantity not accepted.</li> <li>□ 04 ISIN not accepted.</li> <li>□ 05 Security details not acceptable.</li> <li>□ 06 ISIN delisted from Trading.</li> <li>□ 07 POA not received from all holders.</li> <li>□ 08 Holders not acceptable to the pledgee.</li> <li>□ 09 Agreement no. differs from that on the agreement.</li> <li>□ 10 Others.</li> <li>□ 11 Transfer Quantity not accepted.</li> <li>□ 12 Violation of terms of agreement.</li> <li>□ 13 Closure Quantity not accepted.</li> <li>□ 14 Pledged amount/margin not paid.</li> <li>□ 15 Market value of the pledged ISIN is insufficient.</li> </ul>	<ul> <li>01 Units not available for Amount requested.</li> <li>02 Closure Date not accepted.</li> <li>03 Pledged Quantity not accepted.</li> <li>04 ISIN not accepted.</li> <li>05 Security details not acceptable.</li> <li>06 ISIN delisted from Trading.</li> <li>07 POA not received from all holders.</li> <li>08 Holders not acceptable to the pledgee.</li> <li>09 Agreement no. differs from that on the agreement.</li> <li>10 Others.</li> <li>11 Transfer Quantity not accepted.</li> <li>12 Violation of terms of agreement.</li> <li>13 Closure Quantity not accepted.</li> <li>14 Pledged amount/margin not paid.</li> <li>15 Market value of the pledged ISIN is insufficient.</li> </ul>

## To be filled and signed in case of Set-up / Rejection of Pledge by Pledgor BO

Signature of the Pledgor		
First / Sole Applicant	Second Applicant	Third Applicant

## To be filled in case of acceptance / Rejection of Pledge by Pledgee BO

As a pledgor and pledgee, I/We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption / maturity / expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity /expiry of the securities.

Signature of Pledgee(s)*							
First Holder	Second Holder	Third Holder					

<sup>\*</sup> If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

**Depository Participant Seal and Signature**