



Axis Securities Limited,
Aurum Q Parc, Q2 Building, Unit No. 1001, 10th Floor,
Level – 6, Plot No. 4/1 TTC, Thane - Belapur Road,
Ghansoli, Navi Mumbai, Pin Code – 400710

PRF / RRF /
URF / IRF No.

Date

D

D

M

M

Y

Y

Y

Y

- ☐ Setup of Margin / MTF Pledge
- ☐ Setup of Margin Repledge
- ☐ Confirmation of Margin / MTF Pledge
- ☐ Confirmation of Margin Repledge
- ☐ Unpledge of Margin / MTF / CUSPA Pledge by Pledgor
- ☐ Unpledge of Margin / MTF / CUSPA Pledge by Pledgee

- ☐ Unpledge of Margin Repledge by Pledgor
- ☐ Unpledge of Margin Repledge by Pledgee
- ☐ Unpledge of Margin / MTF / CUSPA Pledge for Early Pay-in
- ☐ Invocation of Margin / MTF / CUSPA Pledge for Early Pay-in (Client UCC Permitted for Trade)
- ☐ Invocation of Margin / MTF / CUSPA Pledge for Early Pay-in (Client UCC NOT Permitted for Trade)
- ☐ Invocation of Margin / MTF Pledge for Redemption

Please fill all the details in Block Letters in English.

I / We request you to create the above-mentioned Margin / MTF / CUSPA: Pledge / Repledge / Unpledge / Invocation instruction for the following securities on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to Margin / MTF / CUSPA:

Pledge / Repledge / Unpledge / Invocation of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such Pledge / Repledge / Unpledge / Invocation instructions mentioned above.

Pledgor's Details

DP ID

Client ID

UCC

TMID/CP Code

CMID

Stock Exchange _____ Clearing Corporation _____ Segment _____

Pledgor's Name

1. Name _____
2. Name _____
3. Name _____

Pledgee Details

DP ID

Client ID

Pledgee's Name

1. Name _____
2. Name _____
3. Name _____

Attach an annexure duly signed by the Pledgee(s), if the space above is insufficient.

The ☐ Confiscation /(Invocation) for Early pay-in ☐ Confiscation (Invocation) for Redemption request is being set up for the reasons mentioned hereunder:-

Pledge Execution Date

Agreement No. _____

Pledge Expiry Date

Pledge Setup Date

Total Pledge Value (Rs.) _____

Note : As a pledgor and pledgee, I/we am/are aware that the margin pledge / re-pledge recorded in the system only prohibits the pledgor from dealing with securities until redemption/maturity/expiry date of the securities margin pledged and that the records of margin pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

I/ we hereby provide our consent for the re-pledge of the securities under margin pledge by the pledgee to the Clearing Member and / or further to the Clearing Corporation

Undertaking

- The pledgee undertakes to provide reasonable notice to the pledger and comply with the requirements of Sections 176 and 177 of the Indian Contract Act, 1872.
- The pledger and pledgee undertake to abide with the provisions of the Indian Contract Act, 1872, the Depositories Act, SEBI Regulations, circulars, and byelaws in force from time to time, as may be applicable.

To be filled and signed in case of set-up of ☐ Margin Pledge ☐ Margin Re-pledge ☐ Pledge release Release by Pledgor BO



Signature of the Pledgor

Sole / First Applicant

Second Applicant

Third Applicant

To be filled in case of acceptance of ☐ Margin Pledge ☐ Re-pledge by Pledgee BO ☐ Set-up of pledge release by Pledgee
☐ BO Set-up of Pledge release for early pay-in ☐ Confiscation (Invocation) for Early pay-in ☐ Confiscation (Invocation) for Redemption

Signature of Margin Pledgee

First Holder

Second Holder

Third Holder

Signature of the Margin Pledgee / Re-pledgee

Sole / First Applicant

Second Applicant

Third Applicant

Depository Participant seal and signature