

Trading Complaints received by Stock Brokers for the month of Jan'26
1.
Data for every month ending

| Proposed Total Trading Complaints Jan'26 | | | | | | | | |
|--|-------------------------|-------------------------------------|---------------------------|----------------|--------------------------------|---------------------------------|--------------------------------|--|
| Sr. No. | Received from | Carried forward from previous month | Received during the month | Total Received | Resolved* | Pending at the end of the month | | Average Resolution time [^] (in days) |
| | | | | | Pending for less than 3 months | | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 28 | 129 | 157 | 139 | 18 | 0 | 3 working days |
| 2 | SEBI (SCORES 2.0) | 4 | 23 | 27 | 17 | 10 | 0 | |
| 3 | Stock Exchanges | | | | | | 0 | 4 working days |
| 4 | Other Sources (if any) | | | | | | 0 | 0 |
| 5 | Grand Total | 32 | 152 | 184 | 156 | 28 | 0 | 4 working days |

2.
Trend of monthly disposal of complaints

| Proposed FY 25-26 (Total Trading Complaints) | | | | | |
|--|--------|---|----------|-----------|-----------|
| Sr. No. | Month | Carried forward from the previous Month | Received | Resolved* | Pending** |
| 1 | Apr'25 | 66 | 368 | 350 | 84 |
| 2 | May-25 | 84 | 383 | 417 | 50 |
| 3 | Jun-25 | 50 | 373 | 384 | 39 |
| 4 | Jul-25 | 39 | 391 | 387 | 43 |
| 5 | Aug-25 | 43 | 325 | 300 | 68 |
| 6 | Sep-25 | 68 | 306 | 333 | 41 |
| 7 | Oct'25 | 41 | 247 | 238 | 50 |
| 8 | Nov'25 | 50 | 195 | 214 | 31 |
| 9 | Dec'25 | 31 | 192 | 191 | 32 |
| 10 | Jan'26 | 32 | 152 | 156 | 28 |

3. **Trend of annual disposal of complaints**

| Total Trading Complaints | | | | | |
|--------------------------|----------|-------------------------------------|--------------------------|--------------------------|---|
| Sr. No. | Year | Carried Forward from previous Month | Received during the year | Resolved during the year | Pending at the end of year (As on Jan'26) |
| 1 | FY20-21 | 113 | 7524 | 7541 | 96 |
| 2 | FY21-22 | 96 | 8784 | 8733 | 147 |
| 3 | FY22-23 | 147 | 7109 | 7197 | 59 |
| 4 | FY 23-24 | 59 | 7175 | 7162 | 72 |
| 5 | FY 24-25 | 72 | 5221 | 5227 | 66 |
| 6 | FY 25-26 | 66 | 2932 | 2970 | 28 |