## Trading Complaints received by Stock Brokers for the month of Oct 2024

## 1. Data for every month ending

Total Trading Complaints Oct'24								
Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Received	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	52	319	371	293	78	0	2 working days
2	SEBI (SCORES)						0	
3	Stock Exchanges	2	13	15	5	10	0	4 days
4	Other Sources (if any)						0	0
5	Grand Total	54	332	386	298	88	0	2 working days

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

## 2. <u>Trend of monthly disposal of complaints</u>

FY 24-25 (Total Trading Complaints)						
Sr. No.	Month	Carried forward from the previous Month	Received	Resolved*	Pending**	
1	Apr'24	72	409	436	45	
2	May-24	45	533	430	148	
3	Jun-24	148	599	672	75	
4	Jul-24	75	505	484	96	
5	Aug-24	96	348	398	46	
6	Sep-24	46	387	379	54	
7	Oct'24	54	332	298	88	
8	Nov'24					
9	Dec'24					
10	Jan'25					
11	Feb'25					
12	Mar'25					

## 3. <u>Trend of annual disposal of complaints</u>

Total Trading Complaints							
Sr. No.	Year	Carried Forward from previous Month	Received during the year	Resolved during the year	Pending at the end of year (As on Oct'24)		
1	FY17-18	65	8123	8084	104		
2	FY18-19	104	5253	5310	47		
3	FY19-20	47	3903	3837	113		
4	FY20-21	113	7524	7541	96		
5	FY21-22	96	8784	8733	147		
6	FY22-23	147	7109	7197	59		
7	FY 23-24	59	7181	7168	72		
8	FY 24-25	72	3113	3097	88		