

Trading Complaints received by Stock Brokers for the month of Nov 2024

1. Data for every month ending

Total Trading Complaints Nov'24								
Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Received	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	31	301	332	285	47	0	2 working days
2	SEBI (SCORES)						0	
3	Stock Exchanges	4	13	17	15	2	0	4 days
4	Other Sources (if any)						0	0
5	Grand Total	35	314	349	300	49	0	2 working days

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

2. Trend of monthly disposal of complaints

FY 24-25 (Total Trading Complaints)					
Sr. No.	Month	Carried forward from the previous Month	Received	Resolved*	Pending**
1	Apr'24	72	409	436	45
2	May-24	45	533	430	148
3	Jun-24	148	599	672	75
4	Jul-24	75	505	484	96
5	Aug-24	96	348	398	46
6	Sep-24	46	387	379	54
7	Oct'24	54	332	351	35
8	Nov'24	35	314	300	49
9	Dec'24				
10	Jan'25				
11	Feb'25				
12	Mar'25				

3. Trend of annual disposal of complaints

Total Trading Complaints					
Sr. No.	Year	Carried Forward from previous Month	Received during the year	Resolved during the year	Pending at the end of year (As on Nov'24)
1	FY17-18	65	8123	8084	104
2	FY18-19	104	5253	5310	47
3	FY19-20	47	3903	3837	113
4	FY20-21	113	7524	7541	96
5	FY21-22	96	8784	8733	147
6	FY22-23	147	7109	7197	59
7	FY 23-24	59	7181	7168	72
8	FY 24-25	72	3427	3450	49