

FORM FOR RECORDING ENCUMBRANCES CREATION / CANCELLATION FORM



To,
Axis Securities Ltd.
Unit No. 1001, 10th Floor, Level - 6,
Plot No. 4/TTC, Q2 Building,
Aurum Q Parc, Thane Belapur Road,
Ghansoli, Navi Mumbai-400710
E-mail: dphelp@axisdirect.in,
Tel. no.: 022 6851 5400

Date:

Encumbrance No.

Please fill all the details in Block Letters in English

Type of Encumbrance ☐ NDU ☐ Lien ☐ Other Encumbrance

Encumbrance CANCELLATION

Encumbrance ID (System generated)

Details of Other Encumbrance

I/We request you to create / cancel the Encumbrance & freeze / Unfreeze for debits the following securities in my demat account. I/We have read and understood the SEBI directions in relation to encumbrance of securities and I/We agree to abide by and be bound by the Act and directions as are in force from time to time for such encumbrances.

BOID (i.e. account holder creating
NDU / Lien / Other Encumbrance)

Account Holder Name 1

Account Holder Name 2

Account Holder Name 3

Account Holder - Email ID

Account Holder (account holder ID
in whose favor encumbrance
/Lien/NDU is to be created)

Account Holder Name in whose
favor encumbrance /Lien/NDU is to
be created

1 PAN

2 PAN

3 PAN

Account Holder in whose favor
encumbrance /Lien/NDU is to be
created - Email ID

ISIN

ISIN Name

Details of Securities Free Securities:

Quantity to be frozen for debit:

NDU / Lien / encumbrance /
Remarks:

NDU /Lien / encumbrance - Reason [tick mark whichever is applicable]	Collateral - Debt I issuance by Co/Grp.Co ☐	Collateral for loan by Company/ Group Co ☐	Collateral for loan by the Third Party ☐	Margin Pledge/MT F for exchange trade ☐	Personal use by promoters and PACs ☐
	PAN of the Ultimate Lender _____ Unfreeze /Cancellation Date * DD MM YYYY				

[*Note: Unfreeze will be effected after 2 clear business days]

Declaration from Account Holder :

I, hereby declare that I am associated with the Company whose securities are being frozen as Promoter ☐ / Company ☐ / Not related ☐

(Tick wherever applicable)

Signature of Account Holder [s]

First Holder	Second Holder	Third Holder

Declaration from Lender:

I, hereby declare that I am associated with the Company whose securities are being frozen as Promoter ☐ / Company ☐ / Not related ☐

(Tick wherever applicable)

Signature Account Holder [s] in whose favor encumbrance /Lien/NDU is to be created *

First Holder	Second Holder	Third Holder

DP to ensure that signatures of both parties are available to initiate action